

From: [Jeanne Brennan](#)
To: ["TD Ameritrade New Accounts Resolution"](#)
Bcc: starting@tdameritrade.com
Subject: RE: New Account Resolution (KMM82477044V7683L0KM)
Date: Tuesday, May 6, 2014 5:04:37 PM
Attachments: [JE_Passport_exp_052620.pdf](#)

Thank you for your email. Please be advised that organizational documents filed with respect to limited liability companies formed in the United States Virgin Islands are filed with the Office of the Lt. Governor, whose website does not permit searches of currently existing limited liability companies. The copy of the Certificate of Organization, signed by the Lt. Governor, which was submitted with the account application, should provide adequate confirmation of the filing and existence of Southern Financial, LLC

Please be further advised that, as indicated in the account application for Southern Financial, LLC, the Company is not a pooled investment vehicle.

Finally, please see attached a copy of the U.S. Passport you requested.

-----Original Message-----

From: TD Ameritrade New Accounts Resolution
[\[mailto:newaccountsresolution@tdameritrade.com\]](mailto:newaccountsresolution@tdameritrade.com)
Sent: Tuesday, May 06, 2014 4:27 PM
To: [REDACTED]
Subject: New Account Resolution [REDACTED]

Dear Jeffrey Epstein,

Thank you for choosing TD Ameritrade.

To make sure you can start enjoying all the benefits of your new account, we'll need you to send the following missing information to help us finish your account opening:

- We were unable to locate your entity on the Secretary of State website. Please provide a copy of your Articles of Incorporation.

- Is your LLC a pooled investment vehicle?

If no, please reply to this email or contact us directly. If yes, please reply to this email or contact us directly to answer the following questions:

What percentage of the initially deposited funds will be invested (not including back deposit funds)?

If less than 25%, please explain.

Identify the beneficiaries of the fund.

Does the fund have audited financials?

If yes, please identify the Audit firm.

If no, please clarify why there is no audit firm and submit a copy of the operating agreement.

If no, please reply to this email or contact us directly.

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If your corporation is a pooled investment vehicle, the account will not be eligible for check writing and third party deposits or withdrawals.

- In addition, during the process of opening your account, TD Ameritrade conducts an identity check through a third-party vendor for all new authorized parties. Based on information we received during this check, we need to obtain additional ID verification from you.

Please submit the following information:

A copy of a current government-issued ID, such as a driver's license or passport.

Or

A copy of a current utility bill that has your name and the current address as listed on your account application.

Paperwork may be faxed to 866-468-6268 or mailed to PO Box 2760, Omaha, NE 68103. If you have any questions you may contact us at 800-276-8746.

Until we have received the above information, we will have to restrict your account from trading activity. If we do not receive this information within 30- days from the date you first opened your account we will be required to close your account.

We appreciate your help with this and look forward to serving your account.

Sincerely,

Ravin H
Client Account Services
TD Ameritrade

TD Ameritrade understands the importance of protecting your privacy. From time to time we need to send you notifications like this one to provide you with important information regarding your account. If you've elected to opt out of receiving promotional marketing communications from us, containing news about new and valuable TD Ameritrade services, we will continue to honor your request.

Market volatility, volume, and system availability may delay account access and trade executions.

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