

From: [Richard Kahn](#)
To: [Chad Markland](#)
Cc: [Jeanne Brennan](#); [Alpha Group Capital](#)
Subject: Re: SF LLC
Date: Friday, May 31, 2019 2:40:58 PM

great news
thank you again for your help

i will transfer out money now as well..

Richard Kahn
HBRK Associates Inc.

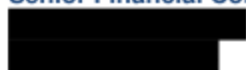


On May 31, 2019, at 2:37 PM, <[\[REDACTED\]](#)>
<[\[REDACTED\]](#)> wrote:

Hey Rich,

So the "work item" that the processor was working to correct the transfer has been completed. That puts it in queue for this evening to process, and update to reflect on the account this evening. I reviewed the work item myself and it does include the remaining shares to move the remaining full amount of that position.

Chad Markland
Senior Financial Consultant



E-mail: [\[REDACTED\]](#)

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From: Richard Kahn [mailto: [REDACTED]]
Sent: Friday, May 31, 2019 10:12 AM
To: Markland, Chad W
Cc: Jeanne Brennan; Alpha Group Capital
Subject: Re: SF LLC

thank you very much..

Richard Kahn
HBRK Associates Inc.

[REDACTED]

On May 31, 2019, at 10:58 AM, < [REDACTED] >
< [REDACTED] > wrote:

Hey Rich,

I'm already on it, been working with my back office for 45 minutes. Found the person that processed it incorrectly, they are now in the process of correcting it. Give me until 12 pm est, and I'll give you a call to status, or I'll call you before then if it is resolved faster than that. I apologize for the inconvenience, I tried to avoid this potential issue by getting ahead of it yesterday. I'll get it taken care of, no worries.

Chad Markland
Senior Financial Consultant

[REDACTED]

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From: Richard Kahn [mailto:[REDACTED]]
Sent: Friday, May 31, 2019 9:54 AM
To: Markland, Chad W
Subject: Re: SF LLC

<~WRD000.jpg>

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From: 867730320z|Date: 05/31/19 9:53 AM|Message available until 05/30/21.
i see that only 3,000 of 3,000,000 quantity was transferred
please advise
thank you

rich

Richard Kahn
HBRK Associates Inc.
[REDACTED]

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On May 30, 2019, at 11:44 AM, <[REDACTED]>
<[REDACTED]> wrote:

Hey Rich,

I confirmed with my back office and transfers team, no issues so far with the DTC transfer request submitted 05/29/19. I also had them a note to clarify the quantity just to make sure, but per usual I will keep an eye on it for you now that I'm in the office today and tomorrow. The expectation is that they should get it moving this afternoon.

Feel free to let me know if you have any other questions around it. As a heads up, there is a tiny amount of cash still in the account as well (from interest that posted the same day the wire of the cash went out).

Thank you,

Chad Markland
Senior Financial Consultant

[REDACTED]

E-mail: [REDACTED]

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From: Richard Kahn [mailto:[REDACTED]]

Sent: Wednesday, May 22, 2019 8:18 AM

To: Markland, Chad W

Cc: Jeanne Brennan

Subject: SF LLC

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can you please look into 3,000,000 of Excelsior bond that never transferred to Schwab.
thank you

Richard Kahn
HBRK Associates Inc.



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