


Deutsche Bank Trust Co. Americas
 345 Park Avenue - NYC20-0102
 New York, NY 10154

HBRK ASSOCIATES, INC
 575 LEXINGTON AVE 4TH FL
 NEW YORK NY 10022

For personal assistance call:
 Amanda Kirby


October 1, 2014 to October 31, 2014


2 Enclosures

Summary of Account Balance(s)

Account	Account Number	Balance
Business Checking	42-953-715	\$87,328.91

Beginning Balance as of October 1, 2014	\$48,530.55
Deposits and Other Credits	\$100,000.00
Checks Paid	(\$8,862.98)
ATM and Debit Card Withdrawals	\$0.00
Service Charges and Other Fees	\$0.00
Other Debits	(\$52,338.66)
Ending Balance as of October 31, 2014	\$87,328.91

Transaction Detail

Date	Description	Debit	Credit	Balance
Beginning Balance as of October 1, 2014				\$48,530.55
10-01	# Transfer Of Funds Cr TRANSFER FROM ACCOUNT 		100,000.00	148,530.55
10-01	# Preauthorized Debit 101021000027851602 ADP - TAX ADP TX/FINCL SVC	(53.87)		148,476.68
10-01	# Preauthorized Debit 101021000027851702 ADP - TAX ADP TX/FINCL SVC	(8,881.92)		139,594.76
10-01	# Preauthorized Debit 101091000017853384 ADP - TAX ADP TX/FINCL SVC	(4,433.94)		135,160.82

All items are credited subject to final collection and receipt of proceeds in cash or by unconditional credit to and accepted by Deutsche Bank Trust Company Americas.

42953715

1 of 4

CONFIDENTIAL

SDNY_GM_00041337

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0004161

EFTA_00151946

EFTA01285157

Date	Description	Debit	Credit	Balance
10-01	# Preauthorized Debit 102091000017988780 ACH PMT AMEX EPayment	(4,934.43)		130,226.39
10-03	# Preauthorized Debit 101021000021817056 ADP - FEES ADP PAYROLL FEES	(168.02)		130,058.37
10-06	# Preauthorized Debit 102021000022742590 ADP - TAX ADP TX/FINCL SVC	(576.92)		129,481.45
10-07	# Preauthorized Debit 101043000092821110 PREMIUMS OXFORD HEALTH	(5,135.02)		124,346.43
10-08	Check 1034	(4,652.98)		119,693.45
10-09	Check 1031	(4,210.00)		115,483.45
10-10	# Preauthorized Debit 101021000025260744 ADP - FEES ADP PAYROLL FEES	(60.35)		115,423.10
10-14	# Preauthorized Debit 102021000026271771 AUTOPAY CHASE	(549.44)		114,873.66
10-15	# Preauthorized Debit 102021000027259293 ADP - TAX ADP TX/FINCL SVC	(53.87)		114,819.79
10-15	# Preauthorized Debit 102021000027259357 ADP - TAX ADP TX/FINCL SVC	(8,864.62)		105,955.17
10-15	# Preauthorized Debit 102091000017262931 ADP - TAX ADP TX/FINCL SVC	(4,451.24)		101,503.93
10-20	# Preauthorized Debit 102021000029052373 ADP - TAX ADP TX/FINCL SVC	(576.92)		100,927.01
10-24	# Preauthorized Debit 101021000021391046 ADP - FEES ADP PAYROLL FEES	(60.35)		100,866.66
10-29	# Preauthorized Debit 101021000024968266 ADP - TAX ADP TX/FINCL SVC	(53.87)		100,812.79
10-29	# Preauthorized Debit 101021000024968358 ADP - TAX ADP TX/FINCL SVC	(8,795.38)		92,017.41
10-29	# Preauthorized Debit 101091000014973627 ADP - TAX ADP TX/FINCL SVC	(4,520.48)		87,496.93
10-31	# Preauthorized Debit 101021000026669566 ADP - FEES ADP PAYROLL FEES	(168.02)		87,328.91
Ending Balance as of October 31, 2014		(61,201.64)	\$100,000.00	\$87,328.91

Checks Paid

Number	Date	Amount	Number	Date	Amount	Number	Date	Amount
1031	10-09	4,210.00	1034 *	10-08	4,652.98	* Skip in check sequence		

All items are credited subject to final collection and receipt of proceeds in cash or by unconditional credit to and accepted by Deutsche Bank Trust Company Americas.

42953715

CONFIDENTIAL

Deposits and Other Credits

Date	Description	Amount
10-01	Transfer Of Funds Cr	\$100,000.00

Service Charges and Other Fees

NSF return item fees for this statement period	\$0.00
NSF return item fees for this calendar year	\$0.00
Overdraft fees for this statement period	\$0.00
Overdraft fees for this calendar year	\$0.00

Other Debits

Date	Description	Amount
10-01	Preauthorized Debit	(\$53.87)
10-01	Preauthorized Debit	(\$8,881.92)
10-01	Preauthorized Debit	(\$4,433.94)
10-01	Preauthorized Debit	(\$4,934.43)
10-03	Preauthorized Debit	(\$168.02)
10-06	Preauthorized Debit	(\$576.92)
10-07	Preauthorized Debit	(\$5,135.02)
10-10	Preauthorized Debit	(\$60.35)
10-14	Preauthorized Debit	(\$549.44)
10-15	Preauthorized Debit	(\$53.87)
10-15	Preauthorized Debit	(\$8,864.62)
10-15	Preauthorized Debit	(\$4,451.24)
10-20	Preauthorized Debit	(\$576.92)
10-24	Preauthorized Debit	(\$60.35)
10-29	Preauthorized Debit	(\$53.87)
10-29	Preauthorized Debit	(\$8,795.38)
10-29	Preauthorized Debit	(\$4,520.48)
10-31	Preauthorized Debit	(\$168.02)

All items are credited subject to final collection and receipt of proceeds in cash or by unconditional credit to and accepted by Deutsche Bank Trust Company Americas.

42953715

3 of 4

CONFIDENTIAL

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

SDNY_GM_00041339

DB-SDNY-0004163

EFTA_00151948

EFTA01285159

In Case of Errors or Questions

1. Electronic Funds Transfers:

Telephone us at 1-866-362-4796, or write to us at Deutsche Bank Trust Company Americas, 345 Park Avenue, PWM Banking Team - NYC20-0102, New York, New York 10154 as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer listed on the statement or receipt. We MUST hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

Please note that if you initially provide the above information to us via telephone, we will require that you send your complaint or inquiry in writing within 10 business days.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 days for new accounts) to do this, we will provisionally credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation. At the conclusion of our investigation, we will inform you of our results.

2. Non-Electronic Funds Transfers:

Contact the Bank immediately at 1-866-362-4796 if your statement is incorrect or if you need more information about any non-electronic funds transactions (checks or deposits) on this statement. If any such error appears, you must notify the Bank in writing no later than 60 days after the statement was made available to you. Please see your Terms and Conditions for further information on the terms governing your account.

3. Verifying Preauthorized Credits:

If you have arranged to have direct deposits made to your account at least once every 60 days from the same person or company, you can telephone us at 1-866-362-4796 to find out whether the deposit has been made.