

NAME SEARCHED: **LSJE, LLC**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	There was no information found
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Reena Chandwani Date:06/15/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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OFAC RESULTS

RDC:

11602717	No Match Found	kyc 1790849	 LSJE, LLC	Country:VIRGIN ISLANDS, U.S.
11602718	No Match Found	kyc 1790849	 LSJE, LLC	Country:UNITED STATES

PCR:

C20170637909277 LSJE, LLC 12891589 NCA customised Auto-Closed No-Hit 15/06/2017

C20170637909278 LSJE, LLC 12891589 NCA customised Auto-Closed No-Hit 15/06/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

Comprehensive Business Report

Report Created:6-15-2017 4:55 PM EST | FOR INFORMATIONAL PURPOSES ONLY | Copyright © 2017 LexisNexis, All rights reserved.

Search Terms - company(LSJE, LLC) radius(15)

Executives - Current (0)	Incorporation/SOS (0)	Operations/Sites (1)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (5)	Watercraft - Current (0)	Watercraft - Prior (4)

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Aircraft - Current (0)
 UCC Filings (0)
 Possible Employees (0)
 Possible Connected
 Business (0)
 View All Sources (12)

Aircraft - Prior (0)
 Executives - Prior (0)
 Person Associates (1)
 Parent Company (0)

Bankruptcy Filings (0)
 Registered Agents (0)
 Business Associates (0)
 Industry Information (0)

Judgments & Liens Filings (0)
 Name Variations (3)
 TINs (0)

Business Summary

Name	Address	Phone
LSJ LLC  (No recent public filings on file)	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	
LexID	Established	TIN
0000-8427-5929	2005 (12 Years in Business)	

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 3 name variations found

NO.	NAME
1.	LSJ LLC
2.	LITTLE ST JAMES LLC
3.	LSJE LLC

TINs - 0 TINs found

Business Profile

Executives: Current - 0 executive(s) found

Incorporation/SOS (0 active, 0 other)

Operating Locations - Showing 1 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	ST. THOMAS COUNTY	

Sales - 0 record(s) found

Parent Company - 0 record(s) found

Industry Information - no information found

Licenses - 0 licenses found

URLs - 0 URLs found

Bankruptcy (0 active, 0 closed)

Judgments/Liens (0 filings)

UCC Filings (0 debtor, 0 creditor)

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Real Property (0 current, 0 prior)

Personal Property (0 current, 9 prior)

NO.	TYPE	STATUS	YEAR/MAKE	MODEL	VIN
1.	MVR	Prior	2004 Mack	600 MR600, Tilt Cab	[REDACTED]
<p>Vehicle Information VIN: [REDACTED] Year: 2004 Make: Mack Model: 600 MR600 Style: Tilt Cab</p> <p>Source Information Data Source: GOVERNMENTAL</p> <p>Registrant 1 LSJE LLC 6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County Jurisdiction: FL License Plate: [REDACTED] License Plate Type: Other Previous License Plate: [REDACTED] Registration Date: 12/05/2014 Registration Expiration Date: 01/03/2015</p>					
2.	MVR	Prior	2010 Smart	FORTWO PASSION, Convertible	[REDACTED]
<p>Vehicle Information VIN: [REDACTED] Year: 2010 Make: Smart Model: FORTWO PASSION Style: Convertible Base Price: \$16,990.00</p> <p>Source Information Data Source: GOVERNMENTAL</p> <p>Owner 1 LSJ LLC 6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County Title Number: 0105384013 Title Date: 12/02/2010</p>					

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3.	MVR	Prior	2010 Chevrolet	C1500 SUBURBAN LTZ, 4 Dr Wagon Sport Utility	[REDACTED]
<p>Vehicle Information VIN: [REDACTED] Year: 2010 Make: Chevrolet Model: C1500 SUBURBAN LTZ Style: 4 Dr Wagon Sport Utility Base Price: \$52,880.00</p> <p>Source Information Data Source: GOVERNMENTAL</p> <p>Registrant 1 LSJ LLC 6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County Jurisdiction: FL License Plate: [REDACTED] Registration Date: 07/03/2010 Registration Expiration Date: 08/01/2010</p>					
4.	MVR	Prior	1987		[REDACTED]
<p>Vehicle Information VIN: [REDACTED] Year: 1987</p> <p>Source Information Data Source: GOVERNMENTAL</p> <p>Registrant 1 LITTLE ST. JAMES LLC 6100 Red Hook Qtrs Ste 2 St Thomas, VI 00802-1348 St. Thomas County Jurisdiction: FL License Plate: [REDACTED] License Plate Type: Boat Registration Date: 10/06/1999 Registration Expiration Date: 06/30/2000</p>					
5.	MVR	Prior	1993		[REDACTED]
<p>Vehicle Information VIN: [REDACTED] Year: 1993</p> <p>Source Information</p>					

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Data Source: GOVERNMENTAL

Registrant 1

LITTLE ST. JAMES LLC
 6100 Red Hook Qtrs Ste 2
 St Thomas, VI 00802-1348
 St. Thomas County
 Jurisdiction: FL
 License Plate: [REDACTED]
 License Plate Type: Boat
 Registration Date: 10/06/1999
 Registration Expiration Date: 06/30/2000

6.	Watercraft	Prior	1984 C&C YACHTS INC	[REDACTED]
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Watercraft Info

Vessel Name: Lady G
 Vessel Service Type: COMMERCIAL
 Length (Ft-In): 40-6
 Propulsion: Diesel Reduction

Registrant Info

Status: VALID
 Registration Date: 12/22/2004
 Expiration Date: 01/31/2006

Owner 1

L S J LLC
 6100 Red Hook Qtrs Ste B-3
 St Thomas, VI 00802-1348

Source Information

Data Source: GOVERNMENTAL

7.	Watercraft	Prior	1987 SILVERTON	[REDACTED]
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Watercraft Info

Vessel Service Type: PLEASURE VESSEL
 Length (Ft-In): 34-0
 Propulsion: INBOARD

Registrant Info

State: Florida
 Number: [REDACTED]
 Status: ACTIVE
 Registration Date: 07/03/2003
 Expiration Date: 06/30/2004

Owner 1

LITTLE ST. JAMES L.L.C.

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6100 Red Hook Qtrs Ste 2 St Thomas, VI 00802-1348 Party Type: OWNER/REGISTRANT					
Source Information Data Source: GOVERNMENTAL					
8.	Watercraft	Prior	1993 DYNOUS		
Watercraft Info Vessel Service Type: PLEASURE VESSEL Length (Ft-In): 10-0 Propulsion: OUTBOARD Registrant Info State: Florida Number: [REDACTED] Status: ACTIVE Registration Date: 10/06/1999 Expiration Date: 06/30/2000 Owner 1 LITTLE ST. JAMES L.L.C. 6100 Red Hook Qtrs Ste 2 St Thomas, VI 00802-1348 Party Type: OWNER/REGISTRANT Source Information Data Source: GOVERNMENTAL					
9.	Watercraft	Prior	1985 MIDNIGHT EXPRESS BOAT		
Watercraft Info Vessel Service Type: PLEASURE VESSEL Length (Ft-In): 37-0 Propulsion: OUTBOARD Registrant Info State: Florida Number: [REDACTED] Status: ACTIVE Registration Date: 10/14/1986 Expiration Date: 06/30/1901 Owner 1 LITTLE ST. JAMES, LLC 6100 Red Hook Qtrs Ste 2 St Thomas, VI 00802-1348 Party Type: OWNER					

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Owner 2**Martinez, Segundo**

[REDACTED]

Party Type: REGISTRANT

Source Information

Data Source: GOVERNMENTAL

Associates**Executives: Prior - 0 prior executive(s) found****Registered Agents - 0 registered agent(s) found****Possible Employees - 0 current, 0 prior employees found****Person Associates - 1 other person associates found**

NO.	NAME	ADDRESS	ROLE
1.	Martinez, Segundo ▲ Deceased	[REDACTED] Miami-Dade County	Watercraft

Possible Connected Business - 0 businesses found**Business Associates - 0 business associates found****Sources**

All Sources	12 Source Documents
Personal Property	12 Source Documents

Key:

- ▲ High Risk Indicator. These symbols may prompt you to investigate further.
- ⚠ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- ℹ General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by Electronic Directory Assistance.
- 📶 Wireless Phone Indicator. These symbols indicate a cell phone number.
- 🏠 Residential Phone Indicator. These symbols indicate a residential phone number.
- 📞 Business Phone Indicator. These symbols indicate a business phone number.
- 📞 Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.
- 📠 FAX Indicator. These symbols indicate a FAX number.
- 🏛 Government Phone Indicator. These symbols indicate a government phone number.

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Your GLBA Permissible Use is: Legal Compliance

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D&B:

There was no information found

LEGAL RESULTS:

Court Cases:

There was no information found

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DB-SDNY-0022145

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