

## Vijay-A Sawant

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**From:** Carl Timcke on behalf of PWMUS AMLKYC  
**Sent:** Friday, June 01, 2018 7:09 PM  
**To:** Vijay-A Sawant  
**Cc:** PWMUS AMLKYC; Bradley Gillin; Stewart Oldfield  
**Subject:** CLEARED: RDC Alert \_ Richard D Kahn [I]  
**Attachments:** RDC\_alert\_Richard D Kahn 2.pdf; RDC\_alert\_Richard D Kahn.pdf; AML Clearance on Richard Kahn RDC alert.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Classification: **For internal use only**

Good morning Vijay,

AML Compliance has reviewed the RDC Alerts generated 5/30/18 for Richard D Kahn, signatory/ Director at Southern Trust Company Inc.; 50% owner of Prytanee, LLC, existing client of the Private Bank. Both alerts contain the same subject material.

AML Compliance previously reviewed the subject matter of this alert and provided clearance on November 11, 2013 . I have attached the clearance for your reference. Please attach all attachments to the KYC.

Regards,

Carl Timcke

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Carl Timcke  
Associate | AML Compliance Officer

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Regulation, Compliance and Anti-Financial Crime  
Anti-Financial Crime  
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*Passion to Perform* |

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**From:**  
**Sent:** Friday, June 01, 2018 5:35 AM  
**To:** PWMUS AMLKYC <[REDACTED]>  
**Cc:** Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>  
**Subject:** Clearance Required on RDC Alert \_ Richard D Kahn

Hello Team,

We are seeking your updated approval for the attached RDC alert on Richard D Kahn, relating to KYC Case#**01946825**.

Previous approvals also attached in this email for your reference, thanks in advance.

Regards,



**Vijay Sawant**

KYC Case Representative

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