

APPENDIX 2

CRITERIA FOR ESCALATION TO ARRC

	Escalation Paths	
Critical	Business, Infrastructure should escalate issues at this level to the ARRC for pre-execution approval. Issues at this level will be reviewed by the ARRC but may require escalation to Regional ExCo.	ARRC/ExCo
Significant	Business, Infrastructure should escalate issues at this level to the ARRC for pre-execution approval. Issues at this level will be resolved by the ARRC.	ARRC
Important	Functional ownership with after-the-fact reporting to ARRC. Rep risk issues can be resolved within business/infrastructure functions but should be reported monthly to the ARRC.	Functional with Reporting
Unrated	Functional ownership. Rep risk issues can be resolved within business/infrastructure functions.	Functional