

Counterparty Legal Name	Counterparty ID	Counterparty Booking Center	Country Of Domicile	GPD	Counterparty Local ID	Paragon OrgID	Client Type	Credit File
Southern Financial LLC	CL-105-911084-AB	#B			PER-0001040815		Other / Unknown	☰
Southern Financial LLC	CL-105-276195-115	115			1-30000-43832	3032432	Operating Compa	☰

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From: Teresa Metallo
Sent: Thursday, January 11, 2018 10:04 AM
To: Paolo De-Leon <[REDACTED]>
Cc: Diana Canter <[REDACTED]>; Keith Buckholz <[REDACTED]>
Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Paolo,

Can you provide the client's account number

Teresa Metallo
Vice President
WM OPS
Regulatory - Risk & Control
60 Wall Street
New York, NY 10005
Tel # ([REDACTED])

From: Paolo De-Leon
Sent: Thursday, January 11, 2018 9:57 AM
To: Teresa Metallo <[REDACTED]>
Cc: Diana Canter <[REDACTED]>; Keith Buckholz <[REDACTED]>
Subject: RE: New Derivatives account. [I]

Hello Teresa,

Can you kindly provide the below documents for Southern Financial LLC?

KYC Document
PCR Document
RDC Document

Thank you,

Paolo



Paolo De Leon