

To: Diana Canter <[REDACTED]>
Cc: Paolo De-Leon <[REDACTED]>
Subject: FW: New Derivatives account. [I]

Classification: **For internal use only**

Hi Diana,

We are looking for some KYC information on a client. Do you know who can provide us with this information? We are being told an LS2 facility cannot be setup without these docs (which we need to set up an OTC collateral account). Apparently, Gideon Pinedo helped with this process in the past. Thanks.

KYC Document
PCR Document
RDC Document

Regards,
Keith

From: Funda Bozkurt
Sent: Tuesday, January 09, 2018 2:16 PM
To: Keith Buckholz <[REDACTED]>
Cc: Paolo De-Leon <[REDACTED]>
Subject: RE: New Derivatives account. [I]

You can ask Zia Memon /Diana Canter. The team reports to him.

Funda Bozkurt

Deutsche Bank Trust Company Americas.
WM-Divisional Control Office
345 Park Avenue, 10154-0004 New York, NY, USA
[REDACTED]

From: Keith Buckholz
Sent: Tuesday, January 09, 2018 2:15 PM
To: Funda Bozkurt <[REDACTED]>
Cc: Paolo De-Leon <[REDACTED]>
Subject: FW: New Derivatives account. [I]
Importance: High

Classification: **For internal use only**

Hi Funda,

Do you know who owns or maintains KYC documentation (for WM accounts)? Thanks.

Regards,
Keith