

KYC Update Steps	Submitted to Front Office (FO)	FO Approved	MD Approved	Fully Approved
Owner	DT	FO	FO	N/A
Total In Scope (Clients)*	1,163	1,123	1,123	1,123
# Completed (Clients)	978	434	374	253
# Remaining (Clients)	185	689	749	870
% Completed	84.09%	38.65%	33.30%	22.53%

DT: Daphne's Team
FO: Front Office

* Initial pop increased to 1477 after recent review of HR clients. Items may be determined out-of-scope during review.

Details	USO NY	USO West	USO Central	LatAm	MEA NY	GAT	Other	Total
High Risk Remediation Data								
Initial In Scope Clients	611	131	91	430	62	23	129	1,477
Out-of-Scope Identified*	63	27	23	160	14	7	60	354
Fully Approved	149	29	15	51	5	1	3	253
with Banker Team**	305	62	47	129	31	13	53	640
with Manager Director (MD)	2	-	-	3	5	-	4	14
with ABR/AFC	16	6	-	3	2	-	4	31
# of Downgrades (No longer HR)	43	20	9	8	6	1	5	92

* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

** Includes all KYC cases submitted to FO plus KYC cases Rejected by ABR/AFC.

Week over Week