

KYC Update Steps	Submitted to Front Office (FO)	FO Approved	MD Approved	Fully Approved
Owner	DT	FO	FO	N/A
Total In Scope (Clients)*	1,153	1,126	1,126	1,126
# Completed (Clients)	810	340	286	184
# Remaining (Clients)	343	786	840	942
% Completed	70.25%	30.20%	25.40%	16.34%

DT: Daphne's Team
FO: Front Office

* Initial pop increased to 1477 after recent review of HR clients. Items may be determined out-of-scope during review.

Details

High Risk Remediation Data	USO	LatAm	MEA-NY	GAT	Total
Initial In Scope Clients	951	441	63	22	1,477
Out-of-Scope Identified*	159	162	20	10	351
Fully Approved	130	48	5	1	184
with Banker Team**	416	119	24	8	567
with Manager Director (MD)	16	3	-	-	19
with ABR/AFC	9	3	1	-	13
# of Downgrades (No longer HR)	54	11	5	-	70

* Includes Inactive/closed KYC cases and small relationships with CBV < \$2 mm.

** Includes all KYC cases submitted to FO plus KYC cases Rejected by ABR/AFC.

Week over Week