

DT:
Daphne's
Team
FO: Front
Office
DBF: DB
Force

* Initial population 1,319. Some items can be determined out-of-scope during review.

** Any decrease on 'Completed' totals after submission to FO are due to potential rejection/closing of the KYC case.

*** ABR is not always part of the High Risk approval flow.

Details

High Risk Remediation Data	USO	LatAm	MEA-NY	GAT	Total
<i>Initial In Scope Clients</i>	821	417	61	20	1,319
<i>Out-of-Scope Identified*</i>	166	161	31	13	371
<i># of KYC Cases Approved</i>	49	22	1	-	72
<i># of KYC Cases Rejected</i>	15	10	-	-	25
<i># of Downgrades</i>	9	7	-	-	16
Averages					
<i>Days with Daphne's Team</i>	33	53	21	59	42
<i>Days with Front Office</i>	7	6	17	0	8
<i>Days with Manager Director</i>	2	2	3	0	2
<i>Days before Final Approval (ABR/AML)</i>	1	1	12	0	4
<i># of Rejections</i>	1	1	5	1	2

* Includes Inactive/closed KYC cases and small relationships with CBV < \$5 mm.

Additional details

# of Clients	Aging (days)				Total
	0-30	31-60	61-90	90+	
KYC Case Status by Teams					
USO	75	50	23	11	159
1. In Progress	65	47	18	8	138
2. Ready for Primary Officer Approval	5				5
4. Ready for AML & Bus. Risk Approval	1				1
Rejected (with Front Office)	4	3	5	3	15
LatAm	50	37	13	29	129
1. In Progress	37	27	12	29	105
2. Ready for Primary Officer Approval	2	7			9
3. Ready for Office Dir Approval/SMTH		2			2