

**From:** Andrew Gallivan [REDACTED]  
**Sent:** 4/6/2016 12:26:39 PM  
**To:** Armen Brash [REDACTED]  
**Subject:** FW: Apr 2016 AML/KYC Escalation Reports [I]  
**Attachments:** US Onshore.xlsx

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Can you get an idea of when our high risk will clear?

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**From:** Melisa Venegas  
**Sent:** Wednesday, April 06, 2016 12:22 PM  
**To:** Armen Brash; Andrew Gallivan; Michael S Jacoby; Lee Hutter; Mark LaRoe  
**Cc:** Vaishali-P Mehta  
**Subject:** Apr 2016 AML/KYC Escalation Reports [I]

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All,

Included below is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly. Thank you.

#### ACUs w/Mat Changes

Risk   Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	-	-	-	7	7
Moderate	2	5	7	15	29
Low	-	1	2	10	13

#### Overdue Temporary Exceptions

Risk   Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	15	-	-	1	16
Moderate	1	1	-	-	2
Low	2	-	-	-	2

#### Overdue High Risk in Person Meetings

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