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Securities offered through Deutsche Bank Securities Inc.

From: Oliver Esslinger

Sent: Tuesday, October 16, 2018 9:21 AM

To: zia Memon [REDACTED]; Kimberly Hart [REDACTED]

Cc: Jonathan Groom [REDACTED]; Emily Craig [REDACTED]; Melisa Venegas [REDACTED]

Subject: RE: FIM Relationships and FIMs - KYC Tracker [I]

Importance: High

Classification: For internal use only

Hi Jonathan:

Apologies for being pushy, but in our daily KYC call today this topic was raised again requiring to start KYCs asap, hence please confirm outreach completion and advise if Melissa or I can help.

Happy to call some bankers myself if you want to share their names with me.

Best

Oliver

FIM Name	GCIS Number	Risk	Last Approved KYC
Noyes LLC	518190	Moderate	9/16/2015
True Capital Management	478356	Low	5/14/2013
BP Finance	508565	High	7/17/2015
Matter Family Office (Deutsche Bank Cayman Ltd)	577095	Low	None
WE Family Offices	490175	Low	None
ACN Insurance Managers (Cayman) Ltd	508954	Low	None
Global Captive Management	508716	Low	None
Partners Capital S.A. (formerly Drizay Corporation S.A.)	508401	Moderate	5/10/2016
Legg Mason (1919 Investment Council LLC)	422798	Low	KYC in progress (#01916145)
Hamlin Capital Management, LLC	438956	Moderate	7/12/2017
Hirtle Callaghan & Co	423293	Moderate	7/17/2017
South Street Advisors LLC	212002	Low	7/21/2017
Windsor Advisory Group LLC	409946	Low	11/7/2013
Kerr Financial Group	457420	Low	None
Seamans, Richard F.	384606	Low	7/28/2009
Wright Investors Service	458724	Low	12/22/2012
Chilton Trust Company	524177	Low	None
Algonquin Advisors LLC	469712	Low	None