

- Court case mentioned in Google search relates to Jeffrey Epstein's sex offence in the past which has been cleared already. Darren is just an attorney for Jeffrey in the case.
- Fircosoft alert has been cleared by AML Compliance. Clearance attached.

Richard Kahn:

- No negative media
- Court case was dismissed and he was not barred from NYSE Arca and was cleared in the previous KYCs. Attached clearances
- RDC alert found - realates to violation of NYSE Arca Equities Rule - Clearance attached

[REDACTED]

- No negative media
- No court cases

Erika Kellerhals:

- No negative media
- No court cases

[REDACTED]

- No negative media
- Court case is immaterial since she is not a defendant nor plaintiff. Her name is just mentioned as miscellaneous. Case is closed as well.

Neptune LLC:

- No negative media
- No court cases

[REDACTED]

- No negative media
- No court cases

[REDACTED]

- No negative media
- No court cases

[REDACTED]

- No negative media
- No court cases

[REDACTED]

- No negative media
- No court cases

Karyna Shuliak:

- No negative media
- No court cases

JSC Interiors LLC:

- No negative media
- No court cases

Southern Trust Company Inc:

- No negative media
- No court cases

E.	To the best of your knowledge, has the client ever been convicted of a criminal offense?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
F.	To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? <i>(If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately)</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
G.	Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
H.	Does the client or related party have any financial or other association/ interactions within high risk countries?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
I.	Corporate Documentation Attached (Legal Entities Only)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable