

One sheet must be established for each account to be opened

2. Account Ownership Summary												
Account Name:			Long Term Insured Credit Fund LLC					Acct. Number (if available):				
Account Manager:			Stewart Oldfield									
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Account is being set up to custody \$250mn worth of bonds												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): Funds from various institutions <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Incoming- 250mn in Fixed income bonds over life of fund 100,000 outgoing per every 2 months - residual interest going back to investors												
What is the expected volume of assets and currency for the account approx. 90 days after opening?							100000000		Currency		USD	
What is the expected volume of assets and currency for the account approx. one year after opening?							250000000		Currency		USD	
Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?							<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Please list all parties related to the account.												
For each party:												
<ul style="list-style-type: none"> • Check if a source of wealth description is required for the party. • Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected). • If none of the check boxes apply, describe the party's relation to the account in the "Other" column. • Always describe the relationship between the parties in the last column. • Please drill down to the ultimate/underlying Beneficial Owner(s). 												
	Legal Entity	Description of Source of Wealth required	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (> = 25% Non-PIC entity (indicate ownership %))	Other (please describe other roles and/or indicate relationship between parties)	
1	<input type="checkbox"/>	<input type="checkbox"/>	William Raymond Vandewater	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Member of ESM Management LLC, 40% owner;	
2	<input type="checkbox"/>	<input type="checkbox"/>	Christopher Jude Zuech	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
3	<input type="checkbox"/>	<input type="checkbox"/>	Eric S Meyer	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Managing member of ESM Management LLC, 60% owner;	
4	<input type="checkbox"/>	<input type="checkbox"/>	David W Jelinek	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
5	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Long Term Insured Credit Fund LLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
6												