
From: Amanda Kirby [REDACTED]
Sent: 11/14/2014 10:58:07 AM
To: PWMUS AMLKYC [REDACTED]
CC: PWM BIS-Research [REDACTED]
Subject: Fw: Richard Kahn rdc and pcr alert [I]
Attachments: Richard Kahn Email Confirmation for Compliance.pdf; Richard Kahn RDC Alert CLEARANCE 2013.pdf; pic18797.gif; pic08607.gif; Richard Kahn pcr alert.pdf; Richard Kahn pcr alert_1.pdf; Richard Kahn rdc alert.pdf; Richard Kahn rdc alert_1.pdf

Classification: For internal use only

This search was requested to a date range beginning in September of 2013. This alert is prior to 2013 and was previously cleared. Please see the attached clearance below and if there is any further action necessart

(See attached file: Richard Kahm Email Confirmation for Compliance.pdf)(See attached file: Richard Kahn RDC Alert CLEARANCE 2013.pdf)

Best,
Amanda Kirby

(Embedded image moved to file: pic18797.gif)

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA

[REDACTED]

(Embedded image moved to file: pic08607.gif)

Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by Amanda Kirby [REDACTED] on 11/14/2014 10:52 AM -----

From: [REDACTED]
To: Amanda Kirby [REDACTED]
CC: PWMUS AMLKYC [REDACTED]
Date: 11/14/2014 10:08 AM
Subject: Richard Kahn rdc and pcr alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: Richard Kahn pcr alert.pdf)(See attached file: Richard