

From: Nina Tona [REDACTED]
Sent: 2/21/2018 11:30:06 AM
To: Xavier Avila [REDACTED]; Davide-A Sferrazza [REDACTED]; Alastair Mackinlay
CC: Martin Zeman [REDACTED]; Joshua Shoshan [REDACTED]; Billy Obregon
Subject: RE: GM KCP Bible - Action Plan - Update I [I]

Classification: **For internal use only**

Team,

For all the below clients **KYC review date is overdue**. Please review and advise if, 1- renew KYC and 2- who will be my contact for info.

Team	WM Client / Account Name	dbcar ID	KYC Review Date	Renew KYC Y/N	Responsible - For Info/Docs	Banker	Responsible - For Contact/CM	Case Manager	Comments
KCP LATAM	Armory Fund LDC	[REDACTED]	1/31/2014	Yes	Billy O		Nina T		Started - Need info from Eduardo
KCP LATAM	Blue Media Investments Inc	[REDACTED]	8/29/2017						
KCP LATAM	Bradwell Commercial Ltd	[REDACTED]	9/13/2017						
KCP LATAM	Drake Aurora Fund	[REDACTED]	5/29/2015						
KCP LATAM	Fratelli Investments Limited	[REDACTED]	1/23/2017	Yes	Billy O	Mauricio P	Nina T		
KCP LATAM	Inverban	[REDACTED]	8/7/2017						
KCP LATAM	Proaltus Capital Partners SL	[REDACTED]	11/15/2017						
KCP LATAM	Puerto Asis Corporation	[REDACTED]	12/18/2017						
KCP LATAM	Signature Capital	[REDACTED]	8/10/2016	No					Billy said client will open a new entity
KCP LATAM	Tonelle Limited	[REDACTED]	6/27/2015						
KCP LATAM	Transacciones e Inversiones Arizona SA	[REDACTED]	2/25/2017						
KCP Latam	Fratelli Investments Limited, BM	[REDACTED]	1/23/2017						