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From: Vijay-A Sawant
Sent: Tuesday, July 03, 2018 11:27 AM
To: Bradley Gillin <[REDACTED]>
Cc: Daphne Cales <[REDACTED]>
Subject: 2018 Periodic Review of acct Cerberus Executive, LLC with [REDACTED] under the CEREBERUS CAPITAL RELATIONSHIP
Importance: High

Hello Brad,

This review relates to above *high risk* account due for remediation 7/31/2018.

Upon reviewing docs extracted from the repositories and attachments, I have completed attached checklist for this account. Main RP's identified are as follows –

Acct Holder - **Cerberus Executive, LLC (a.k.a. formerly Cerberus Employees, LLC)**
Managing Member – **Stephen A. Feinberg**
Senior Managing Director and Authorized Signatory – **Mark A. Neporent**
Senior Managing Director and Authorized Signatory – **Jeffrey L. Lomasky**
Senior Managing Director and Authorized Signatory – **Seth P. Plattus**
SOW Company - **Cerberus Capital Management LP**

All researches will be done on the above RP's so kindly advise if RP's are correctly identified in this case? I am not including the 62 non managing members as they are meant to have equal holdings in this entity and hence it will be bound to be less than 10%.

Kindly confirm if there are any material changes to this account, so case will be launched and worked on accordingly. ID docs are missing for the Senior Managing Directors, but their addresses can be obtained from previous approved KYCPrints – [REDACTED] However an updated ID doc for Mr Feinberg will be required in case there are material changes to this account.

We also require Fincen form to be completed for this account, attached blank form for convenience.

I will proceed to launch this case upon your confirmation of the above, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management