
From: Amanda Kirby [REDACTED]
Sent: 11/28/2014 12:09:20 PM
To: PWMUS AMLKYC [REDACTED]
Subject: Fw: PCR ALERT - [REDACTED]
Attachments: PCR Response from Rich 11.28.2014.pdf; pic29617.gif; pic23124.gif; [REDACTED] PCR Alert 1.pdf; [REDACTED] PCR Alert 2.pdf; [REDACTED] PCR Alert.pdf

Classification: For internal use only

HI,

[REDACTED]
[REDACTED] Per the attached email exchange our client has no relation (Richard Kahn is the manager of this account and sole authorized signer.) Please clear the alerts. Thank you

(See attached file: PCR Response from Rich 11.28.2014.pdf)

Best,
Amanda Kirby

(Embedded image moved to file: pic29617.gif)

Amanda Kirby

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA

[REDACTED]
(Embedded image moved to file: pic23124.gif)

Securities offered through Deutsche Bank Securities Inc.
----- Forwarded by Amanda Kirby/db/dbcom on 11/28/2014 12:06 PM -----

From: PWM BIS-Research/db [REDACTED]
To: [REDACTED]
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 11/19/2014 09:38 AM
Subject: PCR ALERT - [REDACTED] I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: [REDACTED] PCR Alert 1.pdf)(See attached file: [REDACTED])