

United States. (Resolved - J. Lightbody)

For HBRK Associates, Inc. (both accounts)

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

For Darren K. Indyke PLLC:

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

2. For the Attorney Trust Account. Is this a IOLA account, and if so, please explain purpose of the checking. Currently it's noted that it will be "for the daily use of the company's needs". If this is a clients esrow account this purpose would not apply. (Resolved - J. Lightbody)

For New York Strategy Group, LLC (both account);

1. Please check the Signatory or Full POA box for the signers. (Resolved - J. Lightbody)

Additional questions/comments:

1. I did not clearly understand whether Richard Kahn was permanently barred from NYSE Arca membership. Please add more details.

2. For HBRK Associates Inc, please have secretary certify Schedule G of Form 1120 showing ownership of the company

3. For NY Strategy Group LLC, please provide us with the following:

- i. evidence of powers
- ii. ownership

iii. signing authority (resolved: J. Franklin)

4. Is Mr. Indyke an accountant (per KYC) as well as a lawyer? Please correct KYC if he is not an accountant and please run Martindale-Hubbell.

To view the KYC click here <https://na4.salesforce.com/5006000000T1JGX>