
From: Amanda Kirby [REDACTED]
Sent: 9/3/2013 5:57:52 PM
To: PWM BIS-Research [pwm.bis-research@db.com]
CC: PWMUS AMLKYC [pwmus.amlkyc@db.com]; Urvashi Lavania [REDACTED]
Subject: Re: Richard D Kahn rdc alert [I]

Classification: For internal use only

Hi,

Thank you for this. I don't see the research report on the Process Portal.
Can you please send it to me?

From: PWM BIS-Research/db/dbcom@DBAPAC
To: Amanda Kirby/db/dbcom@DBAMERICAS,
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 09/03/2013 04:32 PM
Subject: Richard D Kahn rdc alert
[I]

Classification: For internal use only

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

[attachment "Richard D Kahn rdc alert.pdf" deleted by Amanda Kirby/db/dbcom]
[attachment "Richard D Kahn rdc alert_1.pdf" deleted by Amanda Kirby/db/dbcom]

Regards
Urvashi