
From: Vijay-A Sawant [REDACTED]
Sent: 8/13/2018 9:04:41 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
CC: Alka Babu [REDACTED]
Subject: 2018 Periodic Review of Accts NES, LLC (GCIS#487265), Plan D, LLC (GCIS#487219), The Haze Trust (GCIS#486428) & The 2007 Jeffrey E. Epstein Insurance Trust#3 (GCIS#487199) under the SOUTHERN FINANCIAL RELATIONSHIP
Attachments: KYC Case Remediation Checklist - NES LLC.xlsx; Resolution (2014 - 1).pdf; Resolution (2014).pdf; KYC Case Remediation Checklist - Plan D LLC.xlsx; Resolution 2014.pdf; 01790820 - Global KYC.pdf; KYC Case Remediation Checklist - The Haze Trust.xlsx; KYC Case Remediation Checklist - The 2007 Jeffrey E Epstein Insurance Trust#3.xlsx; Photo ID - [REDACTED]
Importance: High

Hello Brad/Stewart,

This review relates to above high risk accounts which are due for remediation in Aug & Sept 2018.

Upon review of all docs from the repositories and attachments, we have completed attached checklists for which please see assessment below –

Acct Holder – NES, LLC

Sole Member, Sole Shareholder & Authorized Signatory – **Jeffrey E. Epstein**

Authorized Signatory - **Darren Indyke**

Authorized Signatory – **Harry Beller**

Kindly confirm if there are any material changes in this account?

Please note there is some discrepancy in the Corporate Resolutions available for this account, attached both docs (titled “Resolution 2014-1” and “Resolution 2014”). In one of the docs, Harry Beller is missing and included in the other. Unfortunately, the doc in which he is included does not have the 1st page and we are unable to link this doc to this entity even though it was signed on the same date & by same party. The evidence for this was also found in the last approved KYC#**01790739** where Mr Beller IS included as an authorized signatory. Kindly advise if ok to include him in the new case as well?

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

Acct Holder – Plan D, LLC

Sole Member, Sole Shareholder & Authorized Signatory - **Jeffrey E. Epstein**

Authorized Signatory - **Darren Indyke**

Authorized Signatory – **Harry Beller**

Kindly confirm if there are any material changes in this account?

In this account, please note that the latest Corporate Resolution (signed in 2014 & attached in this email) does not reflect Harry Beller, however from the last approved KYCPrint (from 2017), Harry is included as an authorized signer. Kindly confirm if ok to exclude Harry from the latest case as per the Corp Resolution from 2014?

Finally Fincen CDD form is required for this account, so kindly forward the signed doc, when available.

Acct Holder – The Haze Trust

Grantor, Trustee & UBO – **Jeffrey E. Epstein**

Trustee & Authorized Signer – **Darren K. Indyke**