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**From:** Tazia Smith [REDACTED]  
**Sent:** 9/9/2013 5:49:17 PM  
**To:** Paul Morris [REDACTED]  
**Subject:** Re: ACATS for Southern Financial/Trust [I]  
**Attachments:** pic16363.gif; pic30606.gif; pic18978.gif; pic12641.gif; pic15458.gif; pic00024.gif; pic20986.gif; pic25526.gif

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We're on it Paul. You, Caroline and I need to review an accurate list of the positions that can/cannot/wouldn't come. Based on our conversation Friday, JE knows not all will be coming over (proceeds from proprietary product and OTC options will move once matured). We just want to be sure that list of positions that cannot move, is accurate. Jay should have that for is in the morning (he has to check with Pershing, ACAT, etc...various groups); some positions will be a discussion for you, Caroline and I (eg. we agreed novating the FX options wasn't realistic).

Sit down in the morning and then go back to Jeff with this info as part of our note of thanks for Friday's meeting?

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(Embedded image moved to file: pic16363.gif)

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**From:** Paul Morris/db/dbcom  
**To:** Amanda Kirby/db/dbcom@DBAMERICAS, Jay Lipman/db/dbcom@DBAMERICAS,  
**Cc:** Tazia Smith/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmericas  
**Date:** 09/09/2013 05:09 PM  
**Subject:** Re: ACATS for Southern Financial/Trust [I]

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Thanks need to move this forward,

----- Original Message -----  
From: Amanda Kirby  
Sent: 09/09/2013 04:13 PM EDT