

To: Jay Lipman
Cc: Tazia Smith; Vahe Stepanian; Paul Morris
Subject: Re: ACATS for Southern Financial/Trust [I]
Classification: For internal use only

Thank you, Jay. I'll send the account docs to the client today and we can discuss the ACATS further when Paul is in the office tomorrow

Best,
Amanda Kirby

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From: Jay Lipman/db/dbcom
To: Amanda Kirby/db/dbcom@DBAMERICAS,
Cc: Tazia Smith/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAMERICAS
Date: 09/09/2013 04:03 PM
Subject: Re: ACATS for Southern Financial/Trust [I]

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Hi Amanda,

As we discussed earlier, the ACAT's will be more complicated than usual due to the exotic nature of some of the assets in the accounts.

As for Jeepers, please find attached below the necessary brokerage documents for a corporate account. Please find Completion Instructions:
Account Agreement - Please complete highlighted areas on pgs. 1, 6, 7, 14, and 15
Corp Acct. Authorization - Please complete highlighted area and sign/date bottom of pages 1 + 2

[attachment "AWM Acct. Agreement.pdf" deleted by Amanda Kirby/db/dbcom]
[attachment "Corporate Account Authorization.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "New Corporate Info sheet 6.2012.pdf" deleted by Amanda Kirby/db/dbcom]

Kind Regards,

Jay Lipman

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Jay Lipman
Analyst I Markets Coverage Group