
From: Bradley Gillin [REDACTED]
Sent: 8/22/2018 8:46:26 AM
To: Alka Babu [REDACTED]
CC: Vijay-A Sawant [REDACTED]; Vinita Advani [REDACTED]; Richard Iarossi [REDACTED]
Subject: RE: 2018 Periodic Review of GoldenTree Asset Management UK LLP (GCIS # 448919), GoldenTree Asset Management, LP (GCIS # 408981) [I]
Flag: Follow up

Classification: **For internal use only**

Looping in Rich as well. Thanks.

Regards,
Brad

From: Alka Babu
Sent: Wednesday, August 22, 2018 8:37 AM
To: Bradley Gillin [REDACTED]
Cc: Vijay-A Sawant [REDACTED]; Vinita Advani [REDACTED]
Subject: 2018 Periodic Review of GoldenTree Asset Management UK LLP (GCIS # 448919), GoldenTree Asset Management, LP (GCIS # 408981) [I]

Classification: **For internal use only**

Hi Brad,

This captioned accounts are due for remediation on 8/9/18 & 10/4/2018 respectively

Upon reviewing the documents from the repositories, attachments and previous KYC's, we have completed the attached checklist and the assessment is as below :

Acct Holder – **GoldenTree Asset Management UK LLP**

Below are the RP's :

- (i) **Golden Tree Asset Management UK Service Co. Limited – 98% ownership of GoldenTree Asset Management UK LLP**
- (ii) **Golden Tree Asset Management LP – 100% ownership of Golden Tree Asset Management UK Service Co. Limited**
 - 1. **Golden Tree Asset Management LLC (General Partner)**
 - 2. **Steven A Tananbaum (Limited Partner)**
- (iii) **Other authorized signatories are:**
 - a. **Barry Ritholz**
 - b. **Thomas Gengler**
 - c. **Willaim Christian**
 - d. **Scanlon Neil**
 - e. **Feiler Joshua**

We would need the following to proceed further :

- **COGS for Golden Tree Asset Management UK Service Co. Limited**
- **COI or COGS of Golden Tree Asset Management LP & Golden Tree Asset Management LLC**