
From: Zack Bunimovich
Sent: Monday, February 12, 2018 6:09 PM
To: Nina Tona [REDACTED]
Cc: Rita Shteynberg [REDACTED]
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Classification: **For internal use only**

Hi Nina,

I will be case managing this request going forward, and will see that it is assigned with our Jax team asap. I see that some work has been done already by Amber, but the fund triggered a full KYC review – I'll find out if we need any extra documentation/information.

Can you also forward me your original request for what products the client is looking to trade?

Thanks,
Zack

From: Nina Tona
Sent: Monday, February 12, 2018 7:42 AM
To: Amber Davis <[REDACTED]>; Kareen Johnson [REDACTED]; COB GMLondon-Jax [REDACTED]; Yolanda Wood [REDACTED]; Rita Shteynberg <[REDACTED]>
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

Hello All,

Please advise on the below. The client wants to conduct business and we need to complete this NCA as soon as possible.

Thank you,
Nina Tona

From: Nina Tona [REDACTED]
Date: Friday, Feb 09, 2018, 10:17 AM
To: Amber Davis [REDACTED], Kareen Johnson [REDACTED]; COB GMLondon-Jax [REDACTED]; Yolanda Wood [REDACTED]
Subject: RE: ***REASSIGN NEEDED***FW: SOUTHERN FINANCIAL LLC - ONB-1010155 [I]

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Hello Kareen

Please advise who will take this on.

Thank you

Nina Tona

From: Amber Davis
Sent: Thursday, February 08, 2018 1:12 PM
To: Kareen Johnson <[REDACTED]>; COB GMLondon-Jax <[REDACTED]>; Yolanda Wood