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**From:** Xavier Avila  
**Sent:** Sunday, April 15, 2018 12:07 PM  
**To:** Martin Zeman <[REDACTED]>; Nina Tona <[REDACTED]>; [REDACTED]-A Sferrazza <[REDACTED]>; [REDACTED]>; Liam Osullivan <[REDACTED]>  
**Subject:** RE: Southern Financial - KYC Docs. [1]

Martin,  
I discussed the Credit Option when I called Paul with Gaurang again on Thursday and Paul showed dissatisfaction with the fact that he could not still trade the credit trades.  
Martin/Nina, Can we make sure we keep pushing on this?  
Thanks

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**From:** Martin Zeman  
**Sent:** Thursday, April 12, 2018 9:08 AM  
**To:** Nina Tona <[REDACTED]>; [REDACTED]-A Sferrazza <[REDACTED]>; Liam Osullivan <[REDACTED]>; Xavier Avila <[REDACTED]>  
**Subject:** FW: Southern Financial - KYC Docs. [1]

Liam/David,

Can you see if we have this and if not pls ask Richard Kahn (cc Stewart also). I emailed him yesterday for the Fatca doc so you can just follow on that email chain.

Martin

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**From:** Zack Bunimovich <[REDACTED]@db.com>  
**Date:** Wednesday, Apr 11, 2018, 17:49  
**To:** Martin Zeman <[REDACTED]>, Nina Tona <[REDACTED]>  
**Subject:** RE: Southern Financial - KYC Docs. [1]

Hi Martin,

Verification asked for two more points of information – see below.

- DOB for the Legal Reps
  - o Darren K. Indyke
  - o Richard Kahn
- Clarification of the NOB.
  - o The NOB provided in the Articles of Organization and Operating Agreement is too vague. Please provide more detailed explanation of NOB.

Thanks,  
Zack