



DB12000P - Deutsche Bank Private Bank

Batch Date: 2/5/2018  
Added to Monitoring: 02/05/2018

Alert Date: 2/5/2018

Alert  
2/5/2018

Organization: The 2017 Caterpillar Trust  
Address: Country: UNITED STATES  
Tracking ID: [REDACTED]

Reporting ID:

Alerted Entity #: 1 of 1

Risk Priority: Critical

Alert ID: 12612432.11620919.86458141

- <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=prjs000020010712dx3u007oc>
- Associated Press Newswires, MEDIA Article, United States, Headline: Caterpillar turns profit; says global economy improving, demand rising in emerging markets,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=APRS000020100426e64q001yh>
- St. Louis Post-Dispatch, MEDIA Article, United States, Headline: Caterpillar sees 'revival' as global demand increases,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=SLMO000020100427e64r000b8>
- The Commercial Appeal, MEDIA Article, United States, Headline: Millions of jobs lost in recession most likely are gone for good,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=MMPH000020100514e65e0000n>
- Associated Press Newswires, MEDIA Article, United States, Headline: Moody's raises credit outlook for heavy equipment maker Caterpillar,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=APRS000020100602e662001lm>
- Pittsburgh Tribune-Review, MEDIA Article, United States, Headline: Caterpillar accused of dodging \$2 billion in taxes,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PBTR000020110710e7790000j>
- Newsday, MEDIA Article, United States, Headline: IN BRIEF,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=NDAY000020050601e1610001a>
- Financial Times, MEDIA Article, Headline: Caterpillar probed over US tax avoidance claims; CONSTRUCTION; Senate looking at overseas transfers,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=FTFTA000020140322ea3m00042>
- The Wall Street Journal Online, MEDIA Article, Headline: U.S. Prosecutors Investigate Caterpillar Unit; Progress Rail Services Is a Target of a Criminal Investigation in California,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=WSJO000020131101e9b1009na>
- The Wall Street Journal Online,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=WSJO000020131101e9b1009na>
- Reuters News, MEDIA Article, Headline: ANALYSIS-Accounting risk clouds big U.S. business bets in China,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=LBA0000020130210e92a0008r>
- Reuters News, MEDIA Article, Headline: UPDATE 3-Ex-chairman of firm linked to Caterpillar fraud "dismayed",  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=LBA0000020130128e91s000a8>
- New York Law Journal, MEDIA Article, Headline: Oscar Buitrago and Maria Buitrago, Plaintiffs v. H.O. Penn Machinery Company, Inc., H.O. Penn Machinery Leasing, LLC and Caterpillar Inc., Defendants, 100154/08; Supreme Court, New York County, Part 7; Torts,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=NYLJ000020130531e95v0008p>
- Associated Press Newswires, MEDIA Article, Headline: Caterpillar sued over death of contract worker,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=APRS000020090218e52i0011o>
- Theflyonthewall.com, MEDIA Article, United States, Headline: Caterpillar settles with DOJ over emissions for \$2.55M, Bloomberg reports,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=FLYWAL0020110728e77s005mt>
- The Wall Street Journal Online, MEDIA Article, Headline: Litigation Investors Gain Ground in U.S.,  
<http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=WSJO000020140113ea1c0015p>

Consistent with the terms and conditions of your RDC Subscriber Agreement, the information contained in this document is for alert purposes only and is not to be used for purposes of determining an individual's eligibility for any credit or any other FCRA permissible purposes. User further agrees to hold such material and information in strictest confidence, not to make use thereof other than for authorized purposes, to relate it only to employees requiring such information, and not to release or disclose it to any other party. The Alert information contained in this document may or may not pertain to the individual or entity referenced in your inquiry.

Page 6 of 8