



DB12000P - Deutsche Bank Private Bank

Batch Date: 2/5/2018
Added to Monitoring: 02/05/2018

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Alert

Organization: The 2017 Caterpillar Trust
Address: Country: VIRGIN ISLANDS, U.S.
Tracking ID: KYC 01898372

Reporting ID:

Alerted Entity #: 1 of 1 Risk Priority: Critical Alert ID: 12612432.11620920.86458141

- <http://www.treasury.gov/resource-center/sanctions/CivPen/Pages/2003.aspx>
- http://www.nytimes.com/2011/05/27/world/africa/27qaddafi.html?_r=1&hp
- <http://www.globalwitness.org/sites/default/files/library/Libyan%20Investment%20Authority,%20as%20of%20June%202010.pdf>
- <http://www.cat.com>
- <http://www.regents.iowa.gov/Reports/sudanannualreport1014.pdf>
- <https://www.fgwilson.com>
- http://www.azcc.gov/Divisions/Securities/Enforcement/Actions/2003/Tierra_Group_1-23-03.pdf
- <http://www.wsj.com/articles/SB10001424052702304527504579172123767258440>
- <http://www.wsj.com/articles/caterpillar-gets-more-grand-jury-subpoenas-in-tax-probe-1446232494>
- <https://www.wsj.com/articles/federal-agents-search-caterpillar-locations-1488475293>
- <https://www.justice.gov/usao-cdca/pr/subsidiary-caterpillar-inc-pleads-guilty-federal-offense-dumping-parts-ocean-after>

Sources:

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- OFAC Civil Penalties List, OFAC Civil Penalties List, <http://www.treasury.gov/resource-center/sanctions/CivPen/Pages/civpen-index2.aspx>
- Special Media, Special Media, <http://www.rdc.com/>
- Iran Connect, Iran Connect, <http://www.rdc.com>
- Arizona - Corp. Commission Securities Division Enforcement Actions, Arizona - Corp. Commission Securities Division Enforcement Actions, <http://www.azcc.gov/divisions/securities/enforcement/enforcement-actions.asp>
- Iowa Board of Regents - Scrutinized Companies, Iowa Board of Regents - Scrutinized Companies, <http://www.regents.iowa.gov/Reports/iranannualreport1014.pdf>
- Newsbank - North Carolina News Sources, MEDIA Article, Headline: The briefcase
- McClatchy Tribune Academic Restricted, MEDIA Article, Headline: CAT tax probe ends without action
- McClatchy Tribune Academic Restricted
- Agence France-Presse
- Register-Mail, The (Galesburg, IL), MEDIA Article, Headline: Guest column Change 'tax avoidance' laws
- Legal Monitor Worldwide (Jordan)
- Legal Monitor Worldwide (Jordan), MEDIA Article, Headline: SHAREHOLDER ALERT: Bronstein, Gewirtz & Grossman, LLC Reminds Investors of Class Action Against Caterpillar Inc. (CAT) & Lead Plaintiff Deadline: May 2, 2017
- Peoria Journal Star, The (IL)
- Legal Monitor Worldwide (Jordan), MEDIA Article, Headline: CAT INVESTOR ALERT: The Law Offices of Vincent Wong Reminds Investors of a Class Action Involving Caterpillar, Inc. and a Lead Plaintiff Deadline of May 2, 2017
- DOJ US Attorneys Offices Press Releases 2017, DOJ US Attorneys Offices Press Releases 2017, <http://www.justice.gov/usao/about/usattorneys.html>
- Peoria Journal Star, MEDIA Article, United States, Headline: Decatur woman sues for coverage – Debbie Robinson's attorney will file class action lawsuit,

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