



# DBSI High Risk Media Communication Sheet

I - Account Number( XXXXXXXXXX )

Type of Account Change:  Address of Record  Telephone Number  E-mail  
 Type of Asset Movement:  USD WIRE  NON-USD WIRE  ACH  CHECK  JOURNAL  
 DTC/EUROCLEAR  Reg E Wire (If marked, complete and attach additional documentation required.)

Standing Instructions (select one):  Initial Setup  Pre-Established

II - DocuSign (all amounts)—Proceed to Section IV once complete

- I processed the request via the Knowledge Based Authentication (KBA)
- I recognized Client's voice and verbally provided the Access Code via outbound call to a phone number on file (Complete the details of the outbound call below.)

III - Non-DocuSign—Verification of Contact with Client—By signing below, I am certifying the following:

- I recognized the Client's voice based on prior conversations, OR
- I confirmed accurate responses to three authentication questions

Callback Determination/Rationale—Circle—YES or NO and check the appropriate rationale adjacent (each YES or NO response must be accompanied by checking the applicable rationale)

- NO Reason  Callback is not required for pre-established standing instructions on file  
 Callback is not required for same name internal asset transfers  
 USD Domestic Funds/Asset transfer ≤\$50K (Verbal Instructions)
- YES Reason  Initial standing Instructions  
 Non-USD FX transactions  
 Funds/asset transfer to International locations  
 USD Domestic funds/asset transfer ≤\$50K (Written LOA or email instructions)  
 USD Domestic funds/asset transfers ≥\$50K  
 Account changes such as, address, phone, email

IV - I confirm

- Signer(s) is/are authorized to act on account
- I have completed signature verification (non-DocuSign only)
- I have reviewed this transaction for consistency with Client's historical/expected account activity
- Indemnification language is on file (non-DocuSign only)

V - Additional information

Asset movement amount: **\$6,000,000**

### Same Name Internal

Name of the person(s) spoken with

Telephone number used for the Callback or outbound call (DocuSign only)

Date and time of Callback or Inbound/outbound call

  
Signature of Employee who received the original instructions

**Liam O'Sullivan**

**1/10/19**

Print name

Date

  
Signature of Employee Completing authentication

**Liam O'Sullivan**

**1/10/19**

Print name

Date

Signature of the Employee performing independent callback

Print name

Date

Branch Management Approval is evidenced in NetX in accordance with HRM Policy.  
 BSO Approval (if necessary) is evidenced in NetX in accordance with HRM Policy.

\* The term "Client" refers to the account holder or any individual who has been authorized by Client to transfer assets or provide change of contact information. Please refer to the High Risk Media Policy—Deutsche WM Americas, USA, WM DBSI for more information: [https://dbpolicyportal.intranet.db.com/gpag/pdf/0901e5be802f12d6/filename\\_is\\_null.pdf](https://dbpolicyportal.intranet.db.com/gpag/pdf/0901e5be802f12d6/filename_is_null.pdf)

