
From: Nina Tona [redacted]
Sent: 2/27/2018 9:54:31 AM
To: Megha Arora [redacted]; James Rochford [redacted]; Dirren Patel [redacted]
CC: Alastair Mackinlay [redacted]; Jitan Patel [redacted]; Davide-A Sferrazza [davide-
[redacted]]
Subject: RE: Red List [I]

Classification: **For internal use only**

Hi Megha,

Thank you. Jane doesn't seem to know why they are on the red list.

Can you please call me to clarify? Since you are in charge of the red list I need to know why they are there and how to remove them from the red list.

Nina Tona

From: Megha Arora
Sent: Tuesday, February 27, 2018 9:02 AM
To: Nina Tona <[redacted]>; James Rochford <[redacted]>
Cc: Alastair Mackinlay <[redacted]>; Jitan Patel <[redacted]>
Subject: RE: Red List [I]

Classification: **For internal use only**

Hi Nina – As James mentioned below, Clearing House operates the syndication of red list. I notice, you have extended the meeting invite to Jane Varley. She will be in the best position to answer these questions.

Kind regards,
Megha

From: Nina Tona
Sent: Tuesday, February 27, 2018 8:59 AM
To: Megha Arora <[redacted]>; James Rochford <[redacted]>
Cc: Alastair Mackinlay <[redacted]>; Jitan Patel <[redacted]>
Subject: RE: Red List [I]

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Ok, that's good. But why are they on a red list and the trades are rejected.

Who has this red list?

Thank you

Nina Tona

From: Megha Arora
Sent: Tuesday, February 27, 2018 8:54 AM
To: Nina Tona [redacted]; James Rochford <[redacted]>