

WM Area	WM Client Name	GM Client Name	CBDS #	WM Contact/ RM Updated	PRIORITY YES / NO
IWP	Daniel and Suzanne Cohen	Daniel and Suzanne Cohen	1000234458	Joseph Cothron/Dan Gra	YES
IWP	AMZAK Capital Management	PWM US AMZAK CAPITAL MANAGEMENT LLC	1000713302	Rechel Maglicic (RM) Tai	YES
IWP	Armory Fund Limited	Armory Fund Limited	1000685214	Eduardo Pieta	YES
IWP	Bancard International Investment Inc	Bancard International Investments Inc	1005279847	Mauricio Pretelt	YES
IWP	Belford Investments	Belford Investments		Mauricio Pretelt	YES
IWP	Dakota Pacific Global Macro Fund LLC	Dakota Pacific Global Macro Fund LLC	1015553010	Xavier Avila	YES
IWP	Endurance Voyages LLC	ENDURANCE VOYAGES, LLC	1008679777	Michael King	YES
IWP	Euro-Partners Fund	Euro-Partners Fund	1016120204	Chris Maxmin	YES
IWP	GEORGE B KAISER	GEORGE B KAISER	1000589060	Michael King	YES
IWP	GUY WYSER PRATTE	GUY WYSER PRATTE	1013145796	Chris Maxmin	YES
IWP	JAWS CAPITAL LP	JAWS CAPITAL LP	1000289642	Michele M Quattrocchi	YES
IWP	Lepton Fund Ltd	Lepton Fund Ltd	1000355059	Eva Pace	YES
IWP	O3 Capital Global Fund	O3 Capital Global Fund	1012934158	Eduardo Pieta	YES
IWP	PARKWOOD LLC	Parkwood LLC	1007659306	not in DBF	YES
IWP	Rentas Hay International Inc	Rentas Hay International Inc	1001119076/1008857986	Mauricio Pretelt	YES
IWP	SOMERSTON INVESTMENTS LTD	SOMERSTON INVESTMENTS LIMITED	1000227124	Mark Westhoff	YES
IWP	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL LLC		Stewart Oldfield	NO
IWP	The Lamia Ventures Corporation	The Lamia Ventures Corporation	1014480782	Mauricio Pretelt	YES
IWP	TOW, LEONARD RELATIONSHIP	Equity Investments, LP	1009171312	Sean Jules	YES
IWP	Tradeinvest Financial Holdings Ltd	Tradeinvest Financial Holding LTD	1015655010	Terri Sohrab	YES
IWP	Vanguardia Inversiones SAS	Vanguardia Inversiones SAS	1008300941/1008199809	Dcarla Oliveira/Eduardo	YES

Thanks for all your help in advance.

Nina – can you please add to tracker.

Ian

**From:** Kareen Johnson

**Sent:** Tuesday, February 26, 2019 11:53 AM

**To:** Ian Salters <[REDACTED]>; Brian Serino <[REDACTED]>

**Cc:** Bill King <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Nina Tona <[REDACTED]>;

Yasmeen Alim <[REDACTED]>; Jamaal Bushara <[REDACTED]>; Andrea Sandven

<[REDACTED]>

**Subject:** RE: KYC remediation [1]

Classification: **For internal use only**

Hi Ian,

We will add them to the current pipeline, and assign the cases accordingly.

Thank you !

Kareen Johnson

**From:** Ian Salters

**Sent:** Monday, February 25, 2019 4:00 PM

**To:** Brian Serino <[REDACTED]>; Kareen Johnson <[REDACTED]>

**Cc:** Bill King <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>; Nina Tona <[REDACTED]>; Ian

Salters <[REDACTED]>

**Subject:** KYC remediation [1]

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