

Epstein & Co. as this was a company started and controlled by Mr. Epstein.
RESOLVED

all issues resolved

Moderate Risk	<input type="checkbox"/>	Reason for Rush (If applicable)	Please rush. Client wants to fund accounts ASAP.
High Risk	<input checked="" type="checkbox"/>	Exception Expires	
Relationship Number	00000483290	Reason for Exception (If Applicable)	
Case Owner	Approved KYC	Booking Location	NY
DB Pay for Third party for introduction	No	How Client Was Introduced	Prospect
Describe, Third party compensation		Introduction Details	Jeffrey Epstein was a client of Paul's at JP Morgan. Paul and Jeffrey Epstein had a mutual friend/colleague who introduced them while Paul was at JPMorgan. Over the course of a few meetings Paul was able to get Jeffrey to bring some of his funds over to JPMorgan
		Request Temporary/Permanent Exception	

Special Client Type (select if applicable)

DB Employee	<input type="checkbox"/>	DB Managed PIC	<input type="checkbox"/>
DB is Trustee/Co-Trustee	<input type="checkbox"/>	Bearer Shares?	<input type="checkbox"/>

Custom Links

- [Import Documents](#)
- [Export Documents](#)
- [View Documents From Repository](#)
- [KYC Wizard](#)
- [Launch Due Diligence](#)
- [View Due-Diligence Case-Report](#)
- [Global KYC Print](#)

A. Type of Photo ID Provided

A. U.S. Drivers License; Passport

If Other, Type of Photo ID Provided

B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached

B. Yes

C. Please indicate the results of the database searches performed

RDC searches complete	Yes - Negative Results Found	PCR checks complete	Yes - Negative Results Found
OFAC checks complete	Yes - No Negative Results Found	BIS searches complete	Yes - No Negative Results Found
Denial Orders checks complete	Yes - No Negative Results Found	Martindale-Hubbell searched	Not Applicable