

Briana Saffold

From: Martin Zeman
Sent: Friday, April 27, 2018 8:49 AM
To: Zack Bunimovich; Nina Tona; Rita Shteynberg
Cc: Liam Osullivan; Davide-A Sferrazza; Xavier Avila; Joshua Shoshan
Subject: FW: Please fill out -> CRS + UK FATCA form [C] [I]

Classification: **For internal use only**

Zack,

See below confirmation from Southern Financial as requested. Let's get this processed as a priority now please.

Thank you
Martin

From: Darren Indyke AOL [mailto: [REDACTED]]
Sent: Thursday, April 26, 2018 6:29 PM
To: Martin Zeman < [REDACTED] >
Cc: Richard Kahn < [REDACTED] >; Paul Barrett < [REDACTED] >; Liam Osullivan < [REDACTED] >; Xavier Avila < [REDACTED] >; Davide-A Sferrazza < [REDACTED] >; Stewart Oldfield < [REDACTED] >; Jeanne Brennan < [REDACTED] >
Subject: Re: Please fill out -> CRS + UK FATCA form [C]

Confirmed.

Sent from my iPhone. Please excuse the typos.

On Apr 26, 2018, at 5:46 PM, Martin Zeman < [REDACTED] > wrote:

Richard,

Thank you for your response from last week. Our verification team would like you to confirm the nature of business for Southern Financial LLC. A simple "confirmed" will suffice please.

Clarification of the Nature of Business

○ **Private investment vehicle; allocates capital across various asset classes and trading strategies.**

Thank you
Martin



Martin Zeman
Director | Key Client Partners