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**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 8/31/2018 8:37:49 AM  
**To:** Stewart Oldfield [REDACTED]  
**CC:** Richard Iarossi [REDACTED] Mayur Rathod [REDACTED] Bradley Gillin  
**Subject:** RE: 2018 Periodic Review of accts Mort, Inc (GCIS# [REDACTED]) and Gratitude America Ltd (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hi Stewart,

Because both accounts Mort & Gratitude are part of the same KYC ([REDACTED]), we require Mort COGS or SoS, so this case can be submitted.

SoS is the screenshot of this entities registration confirmation from the state registry website. Alternatively you can provide us the COGS dated within the last 6 months for Mort. This is the only pending item for this case, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063, India  
[REDACTED]

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**From:** Stewart Oldfield  
**Sent:** Friday, August 31, 2018 5:52 PM  
**To:** Vijay-A Sawant [REDACTED]  
**Cc:** Richard Iarossi [REDACTED] Mayur Rathod [REDACTED] Bradley Gillin  
[REDACTED]  
**Subject:** Re: 2018 Periodic Review of accts Mort, Inc (GCIS# [REDACTED]) and Gratitude America Ltd (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP

Do we need Mort to complete Gratitude? Also, what is SOS? Thanks

Stewart Oldfield, CFA, CAIA  
Director

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