

On May 23, 2017, at 12:06 PM,  
Tazia Smith <[REDACTED]>  
wrote:

Classification: **Confidential**

Rich –

Closing the loop on today's trading,  
ROTH FP closed at 28 EUR on 12,414  
daily volume.

DB did trade small today, ~ 3,000  
shares, split between High Touch and  
Low Touch which is usually the case for  
this name. The big International houses  
generally see Low Touch business,  
where the boutique houses dominate  
the High Touch. DB has good  
relationship with many of these  
boutique shops that work High Touch  
orders (as yours would be), so let us  
know if you'd like to discuss further per  
my prior note about working smaller  
size.

Speak Soon,

Tazia

Cell: [REDACTED]

---

**From:** Tazia Smith  
**Sent:** Tuesday, May 23, 2017 6:19 AM  
**To:** Delores Rubin; 'Richard Kahn'  
**Cc:** Stewart Oldfield; Vahe Stepanian;  
Matt Glassman  
**Subject:** RE: Rothschild [C]

Rich - Just tried calling you. Please  
call Delores directly if you have  
follow through on this at [REDACTED]  
[REDACTED]

As team relayed, DB isn't (nor are  
we in touch with as agent) a marker