
From: Richard Kahn [REDACTED]
Sent: 1/18/2019 9:43:00 AM
To: Stewart Oldfield [REDACTED]
Subject: Fwd: Annual EBC Notification-2019
Attachments: EBC Annual Disclosure Notice 2019.pdf; Untitled attachment 00096.htm

i assume this is irrelevant as well as we are exiting bank..
please advise
thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

Begin forwarded message:

From: DF Protocol <[REDACTED]>
Subject: Annual EBC Notification-2019
Date: January 17, 2019 at 5:32:43 PM EST
To: DF Protocol <[REDACTED]>

Dear Valued Client,

Please see the attached important reminder regarding the Deutsche Bank Dodd-Frank Resource Centre(www.db.com/doddfrank) and certain disclosures that Deutsche Bank as a registered swap dealer is required to provide to US-Person counterparties when entering into swap transactions. If you are an agent, you are being sent this notice on behalf of all accounts, clients, funds, investors and/or other principals that you represent and that are US-Person counterparties of Deutsche Bank.

Thanks & Regards,

DF Protocol

Dodd Frank – Regulatory Operations
[REDACTED]

Escalation/Feedback Contacts: Email: [REDACTED]

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and