

Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Vijay-A Sawant
Sent: Monday, September 24, 2018 5:54 AM
To: Stewart Oldfield <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Mayur Rathod <[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: RE: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin Islands Foundation, Inc (GCIS#486427) & Hyperion Air, LLC (GCIS#487225) under the SOUTHERN FINANCIAL RELATIONSHIP
Importance: High

Hello Stewart,

As per COGS received last week (in separate email), we are all sorted for this case [REDACTED] except the following –

GCIS 486427 – We are unable to see this account in DB Insight. So we need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

[REDACTED]

In addition to the below, could you advise the following info for account holder (J. Epstein Virgin Islands Foundation, Inc) as the numbers do not seem to make sense and can invite potential rejection point –

Estimated Annual Gross Income(Entity):	100000
Estimated Annual Net Profit(Entity):	2000000
Estimated Investable/Liquid Assets:	<\$1 MM
Amt of assets planned to invest w/ PWM:	0

We look forward to your feedback before we re-submit these cases for AML approval, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [REDACTED]
Mobile + [REDACTED]