
From: John Juul [REDACTED]
Sent: 12/23/2014 3:00:07 PM
To: Wayne Salit [REDACTED]
CC: Michael Koplowitz [REDACTED]
Subject: RE: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Sorry about that.

From: Wayne Salit
Sent: Tuesday, December 23, 2014 2:56 PM
To: John Juul
Subject: RE: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: **For internal use only**

John –

Thanks for the information. I will escalate this matter to Ted Gonedes for his view on the Rep Risk issue.

BTW, you mentioned that you copied Mike, but I did not see it in the cc line.

What is Mike's last name?

Kind Regards,
Wayne Salit

From: John Juul
Sent: Tuesday, December 23, 2014 2:45 PM
To: Wayne Salit
Subject: RE: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Yes, he is. Copying Mike who covers this space from a Line Advisory perspective.

From: Wayne Salit
Sent: Tuesday, December 23, 2014 1:39 PM
To: John Juul
Subject: FW: EDD package for the RID : [REDACTED] Party name : Southern Financial LLC [I]

Classification: **For internal use only**

John –

See information below. Are you familiar with Ted Gonedes? I am trying to figure out if he is senior enough for this decision. Also, the directory does not indicate who Ted reports into.

Let me know your thoughts on whether Ted is the right person to go to with this Rep Risk issue?

Thanks.