

Kind regards,
Jofin Varghese

Client Onboarding - NY CAG
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☞ Dmitri Saks---12/17/2014 08:30:54 PM---Classification: For internal use only Hi Jofin,

From: Dmitri Saks/db/dbcom@DBCOEX

To: Jofin Varghese/db/dbcom@DBAMERICAS,

Cc: CAGNY NCA/db/dbcom@DBAmericas, Manikandan Muralidharan/db/dbcom@DBAPAC, Sujith Shetty/db/dbcom@DBAMERICAS, US-CB S-AML/db/dbcom@DBAMERICAS

Date: 12/17/2014 08:30 PM

Subject: RE: EDD package for the RID : ONB-120902 LKYCUS-3443 Party name : Southern Financial LLC [I]

Classification: **For internal use only**

Hi Jofin,

As stated in the attached, Jeffrey Epstein is the only control person, hence the "conflict of interest supposition" still exists. Besides, the supplied Board of Directors paper is neither notarized/witnessed/certified nor represents a formal filing document. In other words, it is not a strong legal proof. In such cases we recommend requesting a letter/email from an internal or external legal counsel.

Thanks and regards,
Dmitri



Dmitri Saks
AML Compliance Officer

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