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|-----------------|------------|------|---------------|----------------------|--------------|--------------|--------|-------|-----|----------|
| 2/13/2018 | 2/14/2018 | SELL | -100.0000 | SPY 03162018 P 245 | 1.61910000 | 16,191.00 | 300.00 | 6.38 | USD | 0.00 |
| 2/13/2018 | 2/14/2018 | BUY | 100.0000 | SPY 04202018 C 276 | 2.65470000 | -26,547.00 | 300.00 | 6.00 | USD | 0.00 |
| 2/13/2018 | 2/14/2018 | SELL | -100.0000 | SPY 04202018 P 240 | 2.61700000 | 26,170.00 | 300.00 | 6.61 | USD | 0.00 |
| 2/16/2018 | 2/21/2018 | BUY | 3.0000 | BRK A | 1.00000000 | -920,980.00 | 100.00 | 6.00 | USD | 0.00 |
| 2/16/2018 | 2/20/2018 | SELL | -125.0000 | GILD 03162018 C 82.5 | 1.36128000 | 17,016.00 | 375.00 | 6.40 | USD | 0.00 |
| 2/16/2018 | 2/20/2018 | SELL | -125.0000 | GILD 03162018 P 77.5 | 0.94944000 | 11,868.00 | 125.00 | 6.28 | USD | 0.00 |
| 2/22/2018 | 2/23/2018 | SELL | -100.0000 | SPY 03162018 C 274 | 2.44100000 | 24,410.00 | 300.00 | 6.57 | USD | 0.00 |
| 2/22/2018 | 2/23/2018 | BUY | 100.0000 | SPY 03162018 P 245 | 0.63820000 | -6,382.00 | 100.00 | 6.00 | USD | 0.00 |
| 2/22/2018 | 2/23/2018 | SELL | -100.0000 | SPY 04202018 C 276 | 3.53150000 | 35,315.00 | 300.00 | 6.82 | USD | 0.00 |
| 2/22/2018 | 2/23/2018 | BUY | 100.0000 | SPY 04202018 P 240 | 1.41560000 | -14,156.00 | 300.00 | 6.00 | USD | 0.00 |
| 2/23/2018 | 2/27/2018 | BUY | 2,500.0000 | GE | 18.00000000 | -45,000.00 | 100.00 | 6.00 | USD | 0.00 |
| 2/26/2018 | 2/28/2018 | BUY | 2,500.0000 | GE | 18.00000000 | -45,000.00 | 100.00 | 6.00 | USD | 0.00 |
| 2/28/2018 | 3/2/2018 | BUY | 1,400.0000 | ECYT | 4.20000000 | -5,880.00 | 0.00 | 0.00 | USD | 211.68 |
| 2/28/2018 | 3/2/2018 | SELL | -1,400.0000 | ECYT | 5.33790000 | 7,473.06 | 56.00 | 6.18 | USD | 0.00 |
| 3/5/2018 | 3/7/2018 | BUY | 800.0000 | GE | 18.00000000 | -14,400.00 | 32.00 | 6.00 | USD | 0.00 |
| 3/7/2018 | 3/9/2018 | BUY | 5,000.0000 | GE | 18.00000000 | -90,000.00 | 200.00 | 6.00 | USD | 0.00 |
| 3/7/2018 | 3/8/2018 | SELL | -100.0000 | QCOM 04202018 P 60 | 1.90000000 | 19,000.00 | 300.00 | 6.44 | USD | 0.00 |
| 3/12/2018 | 3/14/2018 | SELL | -21,000.0000 | FXI | 49.08500000 | 1,030,785.00 | 840.00 | 29.82 | USD | 0.00 |
| 3/12/2018 | 3/14/2018 | BUY | 2,500.0000 | GE | 18.00000000 | -45,000.00 | 100.00 | 6.00 | USD | 0.00 |
| 3/16/2018 | 3/20/2018 | SELL | -4,000.0000 | AVGO | 240.00000000 | 960,000.00 | 160.00 | 28.18 | USD | 0.00 |
| 3/16/2018 | 3/20/2018 | BUY | 11,700.0000 | GE | 18.00000000 | -210,600.00 | 468.00 | 6.00 | USD | 0.00 |
| 3/16/2018 | 3/19/2018 | SELL | -125.0000 | GILD 04202018 C 82.5 | 1.03000000 | 12,875.00 | 375.00 | 6.30 | USD | 0.00 |
| 3/16/2018 | 3/19/2018 | SELL | -125.0000 | GILD 04202018 P 77.5 | 1.06000000 | 13,250.00 | 375.00 | 6.31 | USD | 0.00 |
| 3/19/2018 | 3/21/2018 | BUY | 3.0000 | BRK A | 1.00000000 | -915,300.00 | 100.00 | 6.00 | USD | 0.00 |
| 3/19/2018 | 3/20/2018 | BUY | 200.0000 | SPY 04202018 C 277.5 | 1.51000000 | -30,200.00 | 600.00 | 6.00 | USD | 0.00 |
| 3/19/2018 | 3/20/2018 | SELL | -200.0000 | SPY 04202018 P 255 | 1.41000000 | 28,200.00 | 600.00 | 6.66 | USD | 0.00 |
| 3/20/2018 | 3/22/2018 | SELL | -500,000.0000 | SVM.GD | 100.47500000 | 502,375.00 | 0.00 | 6.00 | USD | 625.00 |
| ECM BUYS | | | | | | | | | | |
| 9/28/2017 | 10/2/2017 | BUY | 1,300.0000 | CLDR | 16.45000000 | -21,385.00 | 0.00 | 0.00 | USD | 513.24 |
| 9/29/2017 | 10/3/2017 | BUY | 6,400.0000 | PQV | 17.50000000 | -112,000.00 | 0.00 | 0.00 | USD | 3,192.00 |
| 10/13/2017 | 10/17/2017 | BUY | 2,700.0000 | REVG | 27.25000000 | -73,575.00 | 0.00 | 0.00 | USD | 1,876.18 |
| 10/19/2017 | 10/23/2017 | BUY | 6,000.0000 | MOSC U | 10.00000000 | -60,000.00 | 0.00 | 0.00 | USD | 600.00 |
| 10/26/2017 | 10/30/2017 | BUY | 4,300.0000 | BPMP | 18.00000000 | -77,400.00 | 0.00 | 0.00 | USD | 1,996.92 |
| 10/26/2017 | 10/30/2017 | BUY | 700.0000 | HCM | 26.50000000 | -18,550.00 | 0.00 | 0.00 | USD | 667.80 |
| 11/1/2017 | 11/3/2017 | BUY | 3,200.0000 | ALTR | 13.00000000 | -41,600.00 | 0.00 | 0.00 | USD | 1,747.20 |
| 11/1/2017 | 11/3/2017 | BUY | 8,500.0000 | CSTM | 11.00000000 | -93,500.00 | 0.00 | 0.00 | USD | 2,550.00 |
| 11/3/2017 | 11/7/2017 | BUY | 2,400.0000 | AQ | 9.00000000 | -21,600.00 | 0.00 | 0.00 | USD | 907.20 |
| 11/7/2017 | 11/10/2017 | BUY | 8,200.0000 | AXL | 17.55000000 | -143,910.00 | 0.00 | 0.00 | USD | 820.00 |
| 11/9/2017 | 11/13/2017 | BUY | 700.0000 | SRCI | 8.00000000 | -5,600.00 | 0.00 | 0.00 | USD | 100.80 |
| 11/16/2017 | 11/20/2017 | BUY | 800.0000 | GDI | 27.25000000 | -21,800.00 | 0.00 | 0.00 | USD | 392.40 |
| 11/16/2017 | 11/20/2017 | BUY | 700.0000 | JELD | 33.75000000 | -23,625.00 | 0.00 | 0.00 | USD | 389.82 |
| 11/17/2017 | 11/21/2017 | BUY | 800.0000 | PSDO | 14.25000000 | -11,400.00 | 0.00 | 0.00 | USD | 290.70 |
| 11/20/2017 | 11/24/2017 | BUY | 2,000.0000 | PCTY | 47.25000000 | -94,500.00 | 0.00 | 0.00 | USD | 200.00 |
| 11/30/2017 | 12/4/2017 | BUY | 4,600.0000 | GTN | 14.50000000 | -66,700.00 | 0.00 | 0.00 | USD | 1,700.85 |
| 11/30/2017 | 12/4/2017 | BUY | 1,800.0000 | SGH | 33.50000000 | -60,300.00 | 0.00 | 0.00 | USD | 1,447.20 |

Confidential