

Select Forms

Client Level

Required Forms

The following forms are required based on information you provided on the previous page. Forms that require signatures should be sent to your client in advance of opening the account; all other forms will be included in the Welcome Packet which will be mailed by the print shop.

- Account Agreement
- Business Continuity Letter
- Corporate Account Authorization
- Customer Identification Program Notice
- Mutual Fund Discourt / Cash Sweep Disclosure Statements
- Privacy Notice
- Welcome Letter - Retail/COD
- Account Information Sheet

Optional Forms

You can offer a variety of optional account features to your client by selecting any of the forms below. You can mail any forms requiring client signatures in advance of opening the account. Alternatively, you can choose to have these forms included in the Welcome Packet (mailed by the print shop) by simply indicating this preference in the left-hand column below.

- | Select
Welcome
Packet | Select
Optional
Forms |
|-----------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> AML Attestation Letter-- DBSI |
| <input type="checkbox"/> | <input type="checkbox"/> AML Attestation Letter-- generic |
| <input type="checkbox"/> | <input type="checkbox"/> Authorization for Consolidated / Household Performance Report Distribution |
| <input type="checkbox"/> | <input type="checkbox"/> DBCONNECT-Enrollment Form |
| <input type="checkbox"/> | <input type="checkbox"/> WB-BEN Certificate of Foreign States of Beneficial Owner for United States Tax Withholding (ENTITY) |
| <input type="checkbox"/> | <input type="checkbox"/> WB-BEN Certificate of Foreign States of Beneficial Owner for United States Tax Withholding (INDIVIDUAL) |
| <input type="checkbox"/> | <input type="checkbox"/> W8HY - Certificate of Foreign Intermediary, Foreign Flow-Through Entity, or Certain U.S. Branches for United States |
| <input type="checkbox"/> | <input type="checkbox"/> W9 Request for Taxpayer Identification Number and Certification |
| <input type="checkbox"/> | <input type="checkbox"/> ACH Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> Connect Third Party Access Form |
| <input type="checkbox"/> | <input type="checkbox"/> Consent to Electronic Delivery of Materials and Communications |
| <input type="checkbox"/> | <input type="checkbox"/> Net Exchange 360 Third Party Account Access Form |
| <input type="checkbox"/> | <input type="checkbox"/> Option Account Agreement |
| <input type="checkbox"/> | <input type="checkbox"/> Special Statement for Uncovered Option Writers |
| <input type="checkbox"/> | <input type="checkbox"/> Structured Product Agreement and Approval Form |
| <input type="checkbox"/> | <input type="checkbox"/> Trading Authorization Limited to Purchases and Sales Securities |

Corporation Entity Information

Entity Name Line 1 *	Entity Name Line 2
<input type="text" value="LUJAIN HOLDINGS LIMITED"/>	<input type="text"/>
How long has the CA known the entity (years)? *	Establishment Date (yyyy-mm-dd)
<input type="text" value="1"/>	<input type="text" value="2017-11-05"/>
Governing Country of Law*	
<input type="text" value="Cayman Islands"/>	
Tax ID type	
<input type="text" value="Foreign Account"/>	

Legal Address

Country *	How long at the address (years)?
<input type="text" value="Cayman Islands"/>	<input type="text" value="0"/>
Please note that a PO Box cannot be used as a legal address	
Address Line 1 *	Address Line 2
<input type="text" value="27 HOSPITAL ROAD GEORGETOWN"/>	<input type="text" value="GRAND CAYMAN"/>