

From: Vaishali-P Mehta [REDACTED]
Sent: 12/1/2016 9:21:40 AM
To: Andrew Gallivan [REDACTED]
CC: Douglas Robertson [REDACTED]; Mark Gonzalez [REDACTED]; Stewart Oldfield [REDACTED]
Subject: MD approval for ACUs completed for High Risk Clients [I]

Classification: **For internal use only**

Dear Andrew,

Below are the ACUs which were completed for your High Risk customers this month.
 All the below ACUs were completed showing no material changes to the client's circumstances.

Annual Client Update: ID	GCIS Customer Number	Customer Owner	MD	Customer Name	Annual Client Update: Created Date	A14.	A15. Client Status Update Description	A16.	A17.
[REDACTED]	Douglas Robertson	[REDACTED]	Andrew Gallivan	Robert Lewis Allbritton 1996 Trust	11/10/2016	The trustee, Robert Allbritton, continues to live and work in DC area focusing on small direct investments across industries.	The purpose of the discussion was an annual review of the portfolio, markets, and their ongoing cash needs (flows in / out). There are no material changes with this client.	No	No
[REDACTED]	Mark Gonzalez	[REDACTED]	Andrew Gallivan	Bal Harbour 1604N, LLC	10/26/2016	Mr. Forkosh resides in New York and spends time in South Hampton and Miami. His income is derived from his commercial and rental properties.	Nothing has materially changed for this client.	No	No
[REDACTED]	Mark Gonzalez	[REDACTED]	Andrew Gallivan	First & 91st LLC	10/26/2016	Alex spends most of the year in NYC and splits the rest of his time between his condo in Bal Harbour and his home in South Hampton.	Nothing has changed materially for the client.	No	No
[REDACTED]	Mark Gonzalez	[REDACTED]	Andrew Gallivan	Forkosh, Alexander	10/26/2016	The client resides and works as RE	No changes in the client status.	No	No