
From: Richard Kahn [REDACTED]
Sent: 7/13/2017 11:06:05 AM
To: Vahe Stepanian [REDACTED]
CC: Stewart Oldfield [REDACTED] Darren Indyke [REDACTED] Jeanne Brennan
Subject: Re: [C]

we would like to sell 200 contracts of the August 13.00 strike puts at 70c or better in SFL
please confirm with darren
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

> On Jul 13, 2017, at 10:57 AM, Vahe Stepanian <[REDACTED]> wrote:
>
> Classification: Confidential
>
> Hi Rich - Southern Financial can trade listed options, including sold puts.
>
> On the FX question - currently SFLLC cannot buy OTC options. There is Dodd Frank related paperwork that
we would need on file before trading OTC options.
>
> Thank you,
> Vahe
>
> -----Original Message-----
> From: Richard Kahn [mailto:[REDACTED]]
> Sent: Thursday, July 13, 2017 10:27 AM
> To: Vahe Stepanian <[REDACTED]>
> Cc: Stewart Oldfield <[REDACTED]>
> Subject:
>
> are we equip to sell puts?
>
> Richard Kahn
> HBRK Associates Inc.
> 575 Lexington Avenue 4th Floor
> New York, NY 10022
> tel [REDACTED]
> fax [REDACTED]
> cell [REDACTED]
>
>
>
>
>
>
> ---
> This communication may contain confidential and/or privileged information. If you are not the intended
recipient (or have received this communication in error) please notify the sender immediately and destroy
this communication. Any unauthorized copying, disclosure or distribution of the material in this
communication is strictly forbidden.
>
> Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.
>