

KYC Case # : **01082293**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP:00000483290	Booking Center:	New York
Relationship Manager:	Paul Morris		
Relationship to PWM:	<input checked="" type="checkbox"/> New PWM Relationship		<input type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile:		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input type="checkbox"/> Client Referral	<input checked="" type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein was a client of Paul's at JP Morgan. Paul and Jeffrey Epstein had a mutual friend/colleague who introduced them while Paul was at JPMorgan. Over the course of a few meetings Paul was able to get Jeffrey to bring some of his funds over to JPMorgan		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	<i>(If Yes, describe):</i>		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	Southern Financial, LLC	8/19/2013
2	<input checked="" type="checkbox"/>	Southern Financial, LLC	8/19/2013
3	<input checked="" type="checkbox"/>	Southern Trust Company, Inc.	8/19/2013
4	<input checked="" type="checkbox"/>	Southern Trust Company, Inc.	8/19/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Jeffrey Epstein		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): 