

**From:** Vijay-A Sawant  
**Sent:** Tuesday, July 31, 2018 6:31 PM  
**To:** Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>  
**Cc:** Mayur Rathod <[REDACTED]>  
**Subject:** 2018 Periodic Review of High Risked Accounts [REDACTED]  
([REDACTED]), Butterfly Trust (GCIS: [REDACTED]) and Neptune, LLC (GCIS: [REDACTED]) under  
the SOUTHERN FINANCIAL RELATIONSHIP  
**Importance:** High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation  
08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached  
checklist, main RP's also listed below for reference –

Acct Holder – **Butterfly Trust**  
Grantor – **Jeffrey Epstein**  
Trustees – **Harry Beller & Erika Kellerhals**  
UBO's – [REDACTED]  
[REDACTED], **Darren**  
**Indyke, Karyna Shuliak, Richard Kahn,** [REDACTED]

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Volcker Questionnaire completed reflects this trust as a "Family Trust" but this seems inaccurate. All UBO's have different surnames and some even different nationalities. Please advise if ok to accept? I have attached the questionnaire in this email for reference.
- Depending on the response to above bullet point, we might require CDD form if this trust is deemed to be Corporate trust.

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Acct Holder – **Neptune LLC**  
Sole Member & Shareholder – **Jeffrey Epstein**  
Manager – **Richard Kahn**  
Authorized Signers – **Harry Beller & Darren Indyke**

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Fincen CDD form required, attached blank form for reference

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Acct Holder & Authorized Signatory – [REDACTED]  
Joint Acct Holder & Authorized Signatory – **Karyna Shuliak**  
SOW Company (for Karyna Shuliak) – **JSC Interiors LLC**

Following are the list of required items –