

Subject: Richard D Kahn rdc alert [I]
From: PWM BIS-Research <[REDACTED]>
Date: Tue, 03 Sep 2013 16:31:55 -0400
To: Amanda Kirby <[REDACTED]>
Cc: PWMUS AMLKYC <[REDACTED]>

Classification: For internal use only

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue. Include copies of all responses along with your KYC.

(See attached file: Richard D Kahn rdc alert.pdf) (See attached file: Richard D Kahn rdc alert_1.pdf)

Regards
Urvashi