

Subject: RE: OD Referral dated 05-17-2019 For AC # 42953424 [I]

From: Rishabh Shah <[REDACTED]>

Date: Mon, 20 May 2019 13:30:29 -0400

To: Stewart Oldfield <[REDACTED]> ,

Bradley Gillin <[REDACTED]>

Monica Gilkinson <[REDACTED]>

Rachel Wachs <[REDACTED]>

Brigid Macias <[REDACTED]>

Natalie Barak <[REDACTED]>

Armen Brash <[REDACTED]>

Daphne Cales <[REDACTED]>

Cc: Halina Laczny <[REDACTED]>

Debra Jasper <[REDACTED]>

[REDACTED]

Oversight Control <[REDACTED]>

Classification: For internal use only

Please provide an update on below before 2:30 PM , else we'll return the transaction as per our default procedure.

Regards,

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

RISHABH SHAH

Wealth Management

(PWM US OPS - BANKING)

DBOI Global Services Pvt Ltd.

Deutsche Bank Group

A-1 & A-2, Evolve - Mahindra Technology Park,
Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Stewart Oldfield
Sent: Monday, May 20, 2019 12:17 PM
To: Rishabh Shah <[REDACTED]>; Bradley Gillin
<[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel
Wachs <[REDACTED]> Brigid Macias <[REDACTED]> Natalie
Barak <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>; Debra Jasper
<[REDACTED]>; gtopwmbankingexceptioncheckprocessing@list.db.com;
Oversight Control <oversight.control@db.com>
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Brigid has the latest. Please keep her and Natalie on all of these emails.

Thanks

From: Rishabh Shah
Sent: Monday, May 20, 2019 12:15 PM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
<[REDACTED]>; Monica Gilkinson <[REDACTED]>; Rachel
Wachs <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>; Debra Jasper
<[REDACTED]>; gtopwmbankingexceptioncheckprocessing@list.db.com;
Oversight Control <oversight.control@db.com>
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Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Note: If the form reflects an OD decrease then we don't need a decision but it does mean that the referred account is still in OD situation and needs to be covered.

Regards,

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

RISHABH SHAH

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