

NAME SEARCHED: Southern Trust Company, Inc.

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search: Result:

RDC

PCR

BIS

No Hit

Hit

No Hit

Hit

Yes

Not Required

Not Required

Click here for results:

I. RDC Results

II. PCR Results

III. Negative Media

No

Not Required

IV. Non-Negative Media

V. Other Language Media

D&B

Smartlinx

Court Cases

Results?

Yes

Not Required

Results?

be Required

Yes

Not Required

Review by Legal May

No Results

Search not required

Prepared by: Akash kumar gomes Date: 01/10/2017

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.

2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link

below for your convenience: Martindale-Hubbell <http://www.martindale.com/xp/Martindale/home.xml>

3. As needed, provide comment for any negative results.

4. If applicable, please obtain clearance from Compliance for all alerts.

5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

No

VII. Smartlinx

No information found

VIII. Court Cases

No

VI. D&B

More than 3000 results

No information found

No information found

No information found

Reviewer Comments (as necessary):

No RDC Alert

No PCR Alert

No information found

For internal use only

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OFAC RESULTS

RDC:
11596455 Not Alerted
GCIS 00000483883 Southern Trust Company,
Inc.
Country:UNITED STATES
PCR:
C20170136052271 Southern Trust Company, Inc. 12316920 NCA customised Auto-Closed No-Hit
10/01/2017

BIS RESULTS

Negative Media:
No information found
Non-Negative Media:
No information found
Other Language Media:
No information found
Public Record:
More than 3000 results (Please provide more information)

D&B:
No information found

LEGAL RESULTS:

Court Cases:
No information found
For internal use only