

Subject: RE: OD Referral dated 04-01-2019 For AC # [REDACTED] [I]
From: Bradley Gillin <[REDACTED]>
Date: Tue, 02 Apr 2019 12:04:27 -0400
To: Rishabh Sharma <[REDACTED]>
Stewart Oldfield <[REDACTED]>,
Monica Gilkinson <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>
Debra Jasper <[REDACTED]>
gtopwmbankingexc@db.com
Oversight Control <oversight.control@db.com>

Classification: For internal use only

See attached.

Regards,
Brad

{cid:image002.gif@01D4E94C.354C82E0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Tuesday, April 02, 2019 11:57 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin <[REDACTED]>;
Monica Gilkinson <[REDACTED]>
Cc: Halina Laczny <[REDACTED]>; Debra Jasper <[REDACTED]>
Oversight Control <oversight.control@db.com>
Subject: RE: OD Referral dated 04-01-2019 For AC # 42967439 [I]

Classification: For internal use only

Hello,

Please provide update on below.

Regards,

Rishabh Sharma

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DBOI Global Services Private Limited

C00 Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

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E

Please send all the Banking related Queries to our new Group E-mail ID
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Rishabh Sharma [mailto: [REDACTED]]
Sent: Tuesday, April 02, 2019 9:51 AM
To: Stewart Oldfield < [REDACTED] >; Bradley Gillin
[REDACTED]; Monica Gilkinson < [REDACTED] >

Cc: Halina Laczny <[REDACTED]>; Debra Jasper

Oversight Control [REDACTED]

Subject: OD Referral dated 04-01-2019 For AC # [REDACTED] [I]

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Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Note: If the form reflects an OD decrease then we don't need a decision but it does mean that the referred account is still in OD situation and needs to be covered.

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

COO Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Tel. [REDACTED]

Please send all the Banking related Queries to our new Group E-mail ID
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